

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date  
26 May 2005 (26.05.2005)

PCT

(10) International Publication Number  
**WO 2005/048312 A2**

(51) International Patent Classification<sup>7</sup>:

H01L

(74) Agents: STEFFEY, Charles et al.; Schwegman, Lundberg, Woessner & Kluth, PA, P.O. Box 2938, Minneapolis, Minnesota 55402 (US).

(21) International Application Number:

PCT/US2004/037668

(22) International Filing Date:

10 November 2004 (10.11.2004)

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SI, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(25) Filing Language:

English

(26) Publication Language:

English

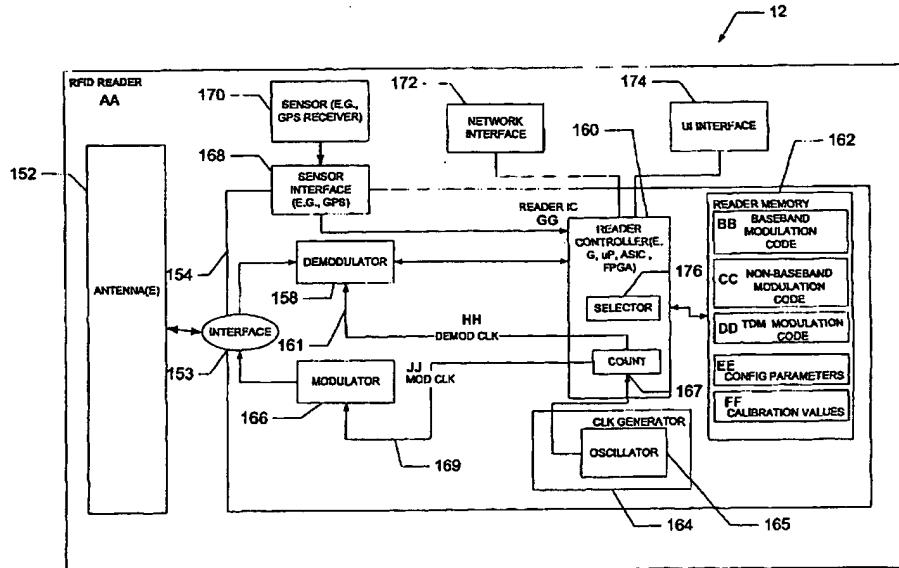
(30) Priority Data:

60/519,031 10 November 2003 (10.11.2003) US  
10/824,049 13 April 2004 (13.04.2004) US

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LU, MC, NL, PL, PT, RO, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

*[Continued on next page]*

(54) Title: DENSE-READER RFID SYSTEM



**WO 2005/048312 A2**

(57) Abstract: An RFID integrated circuit includes a demodulator to receive a first signal, the first signal having been generated by an RFID reader responsive to detection of a first environmental condition. The RFID integrated circuit further includes a controller to set, based on the first signal, a modulation format for the RFID integrated circuit and to configure the RFID integrated circuit to modulate a backscatter signal according to the modulation format.



**Published:**

— without international search report and to be republished upon receipt of that report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**DENSE-READER RFID SYSTEM****5    FIELD OF THE INVENTION**

[0001] An embodiment relates generally to the field of wireless communications and, more specifically, to wireless communications in a radio-frequency identification (RFID) system.

10

**BACKGROUND OF THE INVENTION**

[0002] Radio-frequency identification (RFID) systems are being increasingly deployed to track items for a wide variety of purposes and in a wide variety of environments. For example, RFID systems are increasingly being deployed within supply chains to track inventory from manufacturing through to retail distribution, for example. RFID systems are also being utilized to identify and track airline baggage within airports, to identify motor vehicles at toll gates and parking structures, and to track animals and people (e.g., to track animals within 15 an agricultural operation, and to track children at theme parks or other public 20 venues).

[0003] RFID systems may of course be deployed in a wide variety of environments, from a factory floor to a theme park. Certain environments have proved to be more challenging for the successful deployment of an RFID system. 25 For example, in certain environments, a number of RFID readers and RFID tags may be utilized within a close proximity, resulting in a "noisy" radio-frequency environment in which a great deal of interference between competing signals may exist. Furthermore, RF noise and interference may be introduced into a particular operating environment by other devices (e.g., cellular or mobile 30 telephones, microwave devices, etc.). Also worth noting is that different geographic locations may be subject to different radio-frequency transmission regulations. For example, in North America, for ultra-high frequency (UHF) RFID systems, (i.e., RFID systems utilizing the unlicensed 900MHz radio-frequency band), regulatory restrictions allow for 50 channels, each channel

being 500kHz wide, and require that RFID readers must hop to a new channel every 400ms. Furthermore, reader synchronization is disallowed. On the other hand, in Europe only 10 channels are allowed by the proposed ETSI 302 208 standard, each of these channels being 200kHz wide, and RFID reader

5 synchronization is allowed.

[0004] In short, it will be appreciated that a number of technical challenges exist with respect to the wide variety of environments, and associated environmental conditions, within which RFID systems may be required to operate. US Patent No. 5,649,295 to R. Anthony Shober, entitled document "DUAL MODE

- 10 MODULATED BACKSCATTER SYSTEM" describes, as indicated by the title, a dual mode backscatter system. This document, in the background section, discusses the undesirability of a "single-mode" RFID tag that is capable of operating at either long-range mode or at higher bit rate mode, rather than being capable of operating in both modes. The document then goes on to describe a
- 15 tag unit of an RFID system that has the capability to operate in a "dual mode" fashion. Specifically, a tag, based on a command from an interrogator, responds to the interrogator with either a "single tone" acknowledgement (to achieve great range) or with an information signal (for greater data rates at lesser range). The RFID system is further described in this patent as having the ability to
- 20 communicate using the well-known Time-Division Duplex (TDD), Half Duplex or Full Duplex techniques.

SUMMARY OF THE INVENTION

[0005] According to one embodiment, there is provided an RFID integrated circuit including a demodulator to receive a first signal, the first signal having been generated by an RFID reader responsive to detection of a first

5 environmental condition. The RFID integrated circuit further includes a controller to set, based on the first signal, a modulation format for the RFID integrated circuit and to configure the RFID integrated circuit to modulate a backscatter signal according to the modulation format.

[0006] Other features of the present invention will be apparent from the

10 accompanying drawings and from the detailed description that follows.

BRIEF DESCRIPTION OF THE DRAWINGS

[0007] The present invention is illustrated by way of example and not limitation in the figures of the accompanying drawings, in which like references indicate similar elements and in which:

- 5 [0008] Figure 1 is a diagrammatic representation of an exemplary RFID system, within which an embodiment of the present invention may be implemented.

[0009] Figure 2 is a diagrammatic representation of a sparsely populated RFID environment within which a single RFID reader is shown to provide a reader-to-tag transmission to a limited population of RFID tags.

- 10 [0010] Figure 3 is a diagrammatic representation of a densely populated environment, in which multiple RFID readers communicate, via respective reader-to-tag transmissions, with a large population of RFID tags.

[0011] Figure 4 illustrates two exemplary timing diagrams, depicting FM Zero symbols and FM Zero sequences, respectively.

- 15 [0012] Figure 5 illustrates a spectral density diagram, plotting Power Spectral Density against frequency for an exemplary RFID system employing a biphase modulation format.

- [0013] Figure 6 is a frequency diagram illustrating various frequency signals within an exemplary RFID system employing a baseband modulation format to modulate a backscatter signal.

- 20 [0014] Figure 7 shows exemplary timing diagrams illustrating FSK symbols and FSK sequences, respectively.

- [0015] Figure 8 illustrates a table showing transmission rates, and modulation formats, that may be utilized for forward transmissions and backscatter transmissions in U.S. and European geographic regions for FSK-modulated backscatter transmissions.

[0016] **Figure 9** is a frequency diagram, illustrating exemplary FSK spectral allocations corresponding to transmission rate and format parameters provided in the table of **Figure 8**.

- [0017] **Figure 10** is a frequency diagram illustrating a spectral distribution  
5 within an exemplary dense reader environment, in which three co-located RFID readers perform channel hopping.

- [0018] **Figure 11** includes a respective baseband and FSK frequency diagrams, illustrating a contrast between situations in which an RFID system is configured to use a baseband modulation format and a non-baseband modulation format to  
10 modulate backscatter signals from a population of RFID tags.

[0019] **Figure 12** illustrates exemplary timing diagrams for subcarrier symbols and subcarrier sequences, respectively.

[0020] **Figure 13** is a frequency diagram illustrating an exemplary subcarrier spectral allocation conforming to the FCC regulatory requirements.

- 15 [0021] **Figure 14** shows a frequency diagram illustrating a subcarrier spectral allocation in terms of regulations provided by the CEPT to be effective within Europe.

- [0022] **Figure 15** shows a frequency diagram that provides an illustration of how subcarrier format modulation of backscatter signals may be utilized to  
20 reduce reader-on-tag collisions within a North American environment, in which channel-hopping is permitted.

- [0023] **Figure 16** shows first and second frequency diagrams, the first frequency diagram illustrating a spectral allocation within a low-density environment, and the second frequency diagram illustrating a spectral allocation in a dense-readout  
25 RFID environment in which at least two, co-channel RFID readers are deployed.

[0024] **Figure 17** is a block diagram providing further architectural detail pertaining to an RFID reader, according to one exemplary embodiment.

[0025] **Figure 18** is a block diagram providing architectural detail for an RFID tag, according to one exemplary embodiment.

[0026] **Figure 19** is a flowchart illustrating an exemplary method to configure an RFID reader according to an environmental condition within a deployment environment.

[0027] **Figure 20** is a flowchart illustrating a method, according to an exemplary embodiment, to configure an RFID integrated circuit according to any one or 5 more of a number of environmental conditions.

[0028] **Figure 21** is a diagrammatic representation of forward-link data that may be generated at an RFID reader.

[0029] **Figure 22** is a block diagram providing further details regarding the 10 structure and format of an exemplary configuration command, in the form of an interrogation command that may be issued from an RFID reader to a population of RFID tags.

[0030] **Figure 23** is a block diagram illustrating an exemplary interrogation reply that may be received by an RFID reader from an RFID tag.

DETAILED DESCRIPTION

- [0031] A method and an apparatus to configure an RFID system to be adaptable to a plurality of environmental conditions are described. In the following description, for purposes of explanation, numerous specific details are set forth 5 in order to provide a thorough understanding of the present invention. It will be evident, however, to one skilled in the art that the present invention may be practiced without these specific details.
- [0032] Figure 1 is a diagrammatic representation of an exemplary RFID system 10, within which an embodiment of the present invention may be implemented. 10 The RFID system 10 includes an RFID reader 12 that transmits information, via a wireless air interface 13, to one or more RFID tags 14. The air interface 13 enables the RFID reader 12, as shown, to provide power, query data and timing information to an RFID tag 14, responsive to which the RFID tag 14 may provide response data. Specifically, the RFID tag 14 may scavenge power 15 from a received radio-frequency (RF) signal, and may backscatter the response data to the RFID reader 12 by modulating the impedance of an associated antenna. In a half-duplex communications embodiment, during a reader-to-tag transmission, the RFID reader 12 may modulate an RF waveform with information (e.g., bits). During a tag-to-reader transmission, the RFID reader 12 20 transmits a Continuous-Wave (CW) radio signal. The RFID tag 14 then backscatter-modulates the CW signal with bits, creating a radio-frequency (RF) information waveform that is transmitted back to the RFID reader 12.
- [0033] Dealing now specifically with the RFID reader 12, this device is shown to include a memory 16 within which to store various algorithms and 25 information, a core 18 (e.g., a controller or processor) that operates to control operations of the RFID reader 12, and a front end 20, coupled to an antenna, to control the transmission of information via the air interface 13 by an antenna, and also to process backscatter information received via the air interface 13 by the antenna.
- [0034] In one exemplary deployment, the RFID reader 12 may be coupled (e.g., via a network 22) to a further computer system, such as a server 24. This allows 30 for the programming and/or control of the RFID reader 12 by the server 24. Further, the RFID reader 12 can provide data, via the network 22, to the server

24 for any one of a multitude of purposes. For example, multiple RFID readers 12 may be coupled to a computer system, such as the server 24, so as to provide the server 24 with a comprehensive view of a particular environment. Consider the exemplary environment in which multiple RFID readers 12 are deployed at

5 the various locations within a warehouse. In this deployment, each of the RFID readers 12 may be coupled via a network 22 (e.g., a wired or wireless network) to one of more servers 24, so as to provide a warehouse operator with RFID access to multiple locations within a warehouse, and/or across multiple warehouses.

10 [0035] The RFID tag 14 may be a combination of an RFID circuit (e.g., an RFID Integrated Circuit (IC)), and a coupled antenna (or antennae) to facilitate the reception and transmission of radio-frequency signals via the air interface 13. The RFID circuit and the antenna are typically located on a base material or substrate (e.g., a plastic or paper material) to thereby constitute the RFID tag 14.

15 The RFID tag 14 is shown in Figure 1 to include a number of subcomponents, any one or more of which may be implemented on one or more integrated circuits that form part of the RFID tag 14. Specifically, the RFID tag 14 is shown to include a front end 26 that includes components to facilitate the processing of radio-frequency signals received via the coupled antenna, and also

20 to facilitate the transmission of a radio-frequency signal (e.g., a modulated backscatter signal) via the coupled antenna. A core 28 operates to control operations and states of the RFID tag 14, while a memory 30 stores, inter alia, a tag identifier, a product identifier, configuration values applicable to configuration of the RFID tag 14 and possibly one or more algorithms. As noted

25 above, the RFID tag 14 may be a “passive” tag that scavenges power from a radio-signal received via the air interface 13. Alternatively, the RFID tag 14 may be an “active” tag and include a power source 32 to power the RFID tag 14.

[0036] The air interface 13 may furthermore facilitate both full and half duplex communications. Further, while embodiments are described herein as utilizing

30 radio-frequency signals to communicate, it will be appreciated that other forms of wireless communication may be utilized. For example, in various embodiments, the coupling between the RFID reader 12 and the RFID tag 14 may be achieved utilizing inductive coupling, close coupling, or electrical coupling.

[0037] As mentioned above, an RFID reader 12 may be deployed in a wide variety of environments, certain of which may include high levels of RF noise and interference, or may be subject to certain regulatory restrictions and requirements. Figure 2 is a diagrammatic representation of sparsely populated

- 5       RFID environment 40 in which a single RFID reader 12 provides a reader-to-tag transmission 42 to a limited population of RFID tags 14. The population of tags 14, responsive to receipt of the transmission 42, provides multiple tag-to-reader transmissions 44 back to the RFID reader 12.

[0038] Figure 3 is a diagrammatic representation of a densely populated

- 10      environment 46, in which multiple RFID readers 12 communicate, via respective reader-to-tag transmissions 42, to a larger population of RFID tags 14. Each of the RFID tags 14 in turn responds with an appropriate tag-to-reader transmission 44. In the densely populated environment 46, the RFID readers 12 will receive RF signals from the larger population of RFID tags 14, as well as from co-  
15      located RFID readers 12. Further, certain of the RFID readers 12 may, at any one time, be transmitting on the same channel as a further RFID reader (i.e., at least two RFID readers 12 may constitute co-channel readers). Thus, RF noise and interference levels in the densely populated environment 46 may be significantly higher than in the sparsely populated environment.

- 20      [0039] As a result of varying RF noise and interference conditions within varying environments (e.g., a sparsely populated environment versus a densely populated environment), it is advantageous to utilize different communication protocols in different environments to insure the reliability of data transmissions. However, as the RF noise and interference levels increase within an  
25      environment, the choice of protocol required to insure the reliability of communications may negatively impact throughput. For example, within the sparsely populated environment 40, it may be desirable to select a protocol for communications between the RFID reader 12 and the population of RFID tags 14 that can achieve a relatively higher throughput as a result of the lower RF  
30      noise and interference levels within the environment 40. On the other hand, in the densely populated environment 46, it may be desirable to select a protocol that insures reliable communications in a noisy environment 46, but at the cost of throughput efficiency.

[0040] One embodiment disclosed herein proposes an RFID system in which a modulation format, for example a backscatter modulation format, is chosen (or selected) based on environmental conditions, such as noise interference, reader/tag density, geographic location etc. Accordingly, in one embodiment, a

- 5 first modulation format is utilized to modulate a backscatter signal within a RFID system, responsive to the detection of a first environmental condition, and a second modulation format is utilized to modulate a backscatter signal responsive to detection of a second environmental condition. For example, consider that in the sparsely populated environment 40, where RF noise and  
10 interference levels are low, it may be desirable to configure an RFID system to modulate a backscatter signal utilizing a baseband modulation format, such as, for example, a biphase (e.g., FMØ), a Manchester, or a Pulse Width Modulation (PWM) modulation format.

[0041] Considering the example of utilizing an FMØ modulation format to  
15 modulate a backscatter signal within an RFID system, reference is made to Figure 4 that shows exemplary timing diagrams 48 and 50 illustrating FMØ symbols and FMØ sequences respectively. FMØ modulated backscatter provides for a linear modulation with memory and biorthogonal basis functions.

Collision detection is performed utilizing edge detection and FMØ memory  
20 errors. FMØ-modulated backscatter is attractive in a sparsely populated environment 40, as it provides in-channel signaling and provides an increased transmission rate (e.g., bits/Hz), relative to certain other modulation formats that may be applied to a backscatter signal.

- [0042] Figure 5 illustrates a spectral density diagram 52, plotting Power  
25 Spectral Density (PSD) against frequency (MHz) for an RFID system employing a biphase modulation format, and illustrates the PSD for data rates of 40kbps and 160kbps. This modulation format is useful in certain geographical areas, for example in Europe, in order to meet the regulatory conditions for generated RF emissions as shown in the PSD mask of Figure 5. This modulation scheme is  
30 not required in order to meet spectral emission in North America. A reader and tag system capable of communicating using this scheme and other modulation format would have utility both in North America and Europe.

[0043] Figure 6 is a frequency diagram illustrating various frequency signals within an exemplary RFID system employing baseband modulation to modulate

a backscatter signal. The frequency of a forward link continuous wave (CW) signal (transmitted during tag-to-reader communications) is illustrated at 56 in broken line, and the frequencies of tag response signals are illustrated at 58 in solid line. It will be noted from Figure 6 that the frequencies of the response signals are close to the frequency of the reader continuous wave (CW) signal 54. Accordingly, while a baseband modulation format for backscatter modulation may be useful for achieving a relatively higher throughput, interference may arise (e.g., within the channel 60 illustrated in Figure 6), where multiple RFID readers are co-located within a particular environment. Further, as the backscatter signal frequency is close to the frequency of the reader transmission signal, interference between multiple readers and a tag population may be more acute when utilizing baseband modulation.

[0044] Having considered the use of a baseband modulation format within a sparsely populated environment, it is useful to consider how a different modulation format, such as a subcarrier modulation format (e.g., a bi-tone or a Frequency Shift Key (FSK) modulation format) may be better suited to utilization within the densely populated environment 46. To this end, Figure 7 shows exemplary timing diagrams 62 and 64 illustrating FSK symbols and FSK sequences respectively. As an example of a subcarrier modulation format, FSK modulation utilizes two tones to represent a digital one and digital zero, respectively. Collision detection is performed by observing the relevant two tones that are utilized by the FSK modulation format. For example, where a 2.2 MHz tone is utilized to represent a digital zero and a 3.3 MHz tone is utilized to represent a digital one, the simultaneous transmission of backscatter signals utilizing these tones registers a collision. FSK-modulated backscatter is advantageous in that it facilitates increased reader sensitivity relative to FMO modulation formats, and accordingly may be better suited to a dense reader environment.

[0045] Dealing more specifically with dense reader environments, it is, according to one embodiment, desirable to provide RFID readers that are able to be co-located, and operate in a co-channel manner, with other RFID readers in a dense RFID reader environment. Again, it should be borne in mind that different regulatory requirements may apply in different geographic regions. While North American regulations permit RFID readers to perform channel hopping, and in

this way at least partially avoid certain of the issues presented by co-located readers, under European regulations, channel hopping readers is disallowed. However, European regulations do permit RFID reader synchronization.

- [0046] As noted above, an issue exists with respect to baseband modulation of a backscatter signal in that the reader-to-tag, and tag-to-reader, transmissions share a common channel (e.g., the channel 60 shown in Figure 6). As the reader-to-tag transmissions are typically of a much larger amplitude than the tag-to-reader backscatter transmissions (e.g., in the order of 100dB larger), the potential exists for a distant in-channel RFID reader to mask nearby RFID tags. For this reason, an interleaved subcarrier FSK signaling (or modulation format) provides an advantage in that RFID reader and tag transmissions utilize different frequencies. Accordingly, tag transmissions may collide with other tag transmissions, but not necessarily with reader transmissions. Similarly, reader transmissions collide with further reader transmissions, but not with tag transmissions.
- [0047] Figure 8 shows a table 66 including transmission rates, and modulation formats, that could be utilized for both forward transmissions (i.e., reader-to-tag transmissions), and backscatter transmissions (i.e., tag-to-reader transmissions) in the US and European geographic regions for FSK-modulated backscatter transmissions. Figure 9 is a frequency diagram 68, illustrating exemplary FSK spectral allocations corresponding to the transmission rate and format parameters provided in the table 66 of Figure 8.

- [0048] Figure 10 is a frequency diagram 70 illustrating a spectral distribution in an exemplary dense reader environment, in which three co-located RFID readers perform channel hopping, and in which the tag population is configured to employ the FSK modulation format to modulate backscatter signals. The three co-located RFID readers 12 employ channel hopping so as to minimize reader-tag collisions. Specifically, a first RFID reader 12 transmits a reader-to-tag signal 72 in a first half channel, a second RFID reader 12 transmits a reader-to-tag signal 74 in a second half channel, and a third RFID reader 12 transmits a reader-to-tag signal 76 in a third half channel. The respective RFID readers 12 may, in the provided example, have hopped to the described channels responsive to the detection of another RFID reader 12 operating in any one of a number of channels. For example, the second reader, transmitting the reader-to-tag signal 74, may have initially attempted to commence transmission in the first half

channel but have detected that the first reader was already transmitting the reader-to-tag signal 72 within that channel (e.g., utilizing collision detection), and accordingly have hopped to the second half channel.

[0049] Figure 10 also illustrates that the channels within which the co-located

- 5 readers transmit the reader-to-tag signals 72, 74 and 76 are spaced, and the population of RFID tags 14 are configured to backscatter at frequencies such that readers each transmit at a frequency that does not collide with further reader transmissions, and such that tag transmissions collide with other tag transmissions. Specifically, with reference to the exemplary transmissions
- 10 illustrated in Figure 10, it will be noted that the reader-to-tag signals 72, 74 and 76 do not collide, whereas the backscatter signals 72a and 76a do, for example, collide. This configuration of an RFID system reduces the path losses as a result of tag-to-tag transmission collisions.

[0050] Figure 11 shows respective baseband and FSK frequency diagrams 80,

- 15 illustrating the contrast between situations in which an RFID system is configured to use a baseband modulation format and a non-baseband (e.g., a FSK) modulation format to modulate backscatter signals from a population of RFID tags 14. Dealing first with the frequency diagram for the baseband modulation format scenario, a particular RFID reader 12 is shown to transmit a
- 20 CW signal 82, which collides with the reader-to-tag signal 84 transmitted by a further co-channel RFID reader 12. A backscatter signal 86 is shown to be modulated utilizing a baseband modulation format. Accordingly, RFID reader and tag transmissions are shown to collide.

[0051] The FSK frequency diagram serves to illustrate that baseband signaling

- 25 does not lend itself to co-channel readers (particularly in a dense reader environment), whereas FSK signaling does better accommodate co-channel readers in a dense reader environment. The baseband frequency diagram illustrates that reader and tag transmissions collide where baseband signaling is utilized by co-channel readers. On the other hand, where FSK signaling is
- 30 utilized, reader transmissions collide with other reader transmissions, and tag transmissions collide with other tag transmissions.

[0052] Figure 12 illustrates exemplary timing diagrams 100 and 102 for subcarrier symbols and subcarrier sequences, respectively. Subcarrier modulation (e.g., Manchester data modulation) may be utilized to modulate a

single subcarrier. Subcarrier modulation allows for increased RFID reader sensitivity, and accordingly is useful for deployment in a dense RFID environment.

[0053] Figure 13 is a frequency diagram 110 illustrating an exemplary

- 5 subcarrier spectral allocation that conforms to the Federal Communications Commission (FCC) regulatory requirements, and shows a CW signal 112 transmitted during tag-to-reader communications. A single sideband reader modulation is shown at 114, with tag responses being shown at 116 and 118 respectively. The forward-link transmissions (e.g., reader-to-tag transmission)  
10 may be transmitted at a 40 kbps rate utilizing Manchester format modulation. Backscatter transmissions may be transmitted utilizing a 64 kbps data rate at a 256 kHz subcarrier modulation format. It will be noted that the tag responses 116 and 118 straddle the boundaries of the 500 kHz channel defined in terms of FCC regulations. However, current FCC regulations permit tag responses (e.g.,  
15 backscatter signaling) out-of-channel, as illustrated in Figure 13.

[0054] The FCC-permitted subcarrier spectral allocation illustrated in Figure 13

- should be contrasted with the spectral allocation illustrated in Figure 14, which shows a frequency diagram 120 illustrating a subcarrier spectral allocation in terms of regulations provided by the CEPT to be effective within Europe. A CW  
20 transmission 122, during tag-to-reader transmission, and a double-sideband reader modulated transmission 124, during reader-to-tag transmission, are each shown. Also shown are tag responses 126 and 128. The tag responses 126 and 128 fall within a 200 kHz channel, in view of the CEPT regulations, which disallow out-of-channel backscatter signaling. The reader-to-tag transmissions  
25 may be at a 40 kbps data rate, and utilize the Manchester modulation format to generate the transmission signal 124. The backscatter signals (i.e., the tag responses 126 and 128) may be at a 16 kbps data rate, and utilize a 64 kHz subcarrier modulation format.

- [0055] It is now useful to consider how the above-described regulatory  
30 requirements in different geographic regions (e.g., the US and Europe) impact signaling within an RFID system. Firstly, in Europe, the CEPT regulations allow for synchronization between RFID readers 12. Accordingly, for co-located readers, synchronization can be utilized to avoid reader-on-tag collisions. There is further no tag-on-tag collision since all signaling is in-channel.

[0056] In geographic regions in which the FCC regulations apply for co-located RFID readers 12, the utilization of subcarrier modulation formats to modulate backscatter signals reduces reader-on-tag collisions. Further, path losses minimize the impact of tag-on-tag collisions. Figure 15 shows a frequency

5 diagram 130 that provides an illustration of how subcarrier format modulation of backscatter signals may be utilized to reduce reader-on-tag collisions within a North American environment in which channel-hopping is permitted. Figure 15 also illustrates how a filter 132 may be utilized to filter out half-channel transmissions from co-located RFID readers 12.

10 [0057] Having above described the situation with co-located readers, co-channel RFID reader scenarios are now described with reference to Figure 16. A first

frequency diagram 140 illustrates a spectral allocation within a low-density environment, in which only a single RFID reader 12 is deployed, and shows a RFID reader transmission 142 as well as tag responses (e.g., backscatter signals)

15 modulated utilizing a baseband modulation format. A second frequency diagram 146 illustrates a spectral allocation in a dense-reader RFID environment in which at least two, co-channel RFID readers are deployed. As shown, the reader transmission signals 148 and 150 collide, as do the tag responses 151 and 153 (e.g., backscatter signals) that are modulated utilizing a subcarrier modulation

20 format. However, it will be noted that reader-on-tag collisions are avoided (e.g., reader transmissions collide with further reader transmissions but not with tag transmissions). Furthermore, path losses reduce the impact of the reader-on-reader collisions (e.g., the collision between the transmission signals 148 and 150). Accordingly, baseband signal, as described above with respect to the 25 frequency diagram 140, is undesirable for RFID environments employing co-channel readers, whereas subcarrier signaling, as illustrated with respect to frequency diagram 146, facilitates the deployment of co-channel RFID readers within a RFID environment.

[0058] The above discussion with respect to Figures 4-16 illustrates the 30 advantages of utilizing different signal schemes (e.g., modulation formats) in different environments (e.g., low-density versus high-density environments, environments having different regulatory requirements, etc.). Accordingly, an exemplary embodiment of an RFID system includes "multi-mode" RFID tags 14 (e.g., RFID tags 14 that are able to support a number of signaling schemes), and

RFID readers 12 that are able to choose and/or specify different signaling schemes according to a variety of environmental conditions. Specifically, an embodiment of an RFID reader 12 is described below that is capable of choosing and/or implementing a signaling scheme that seeks to maximize data rate and throughput in environments that permit such data rates and throughputs, without unacceptably degrading the quality of transmissions, and that is capable of choosing and/or implementing a signaling scheme that seeks to achieve a minimum transmission quality level in environments where an increased data rate (or throughput) is not possible (e.g., in a noisy environment). Specifically, 5 one embodiment described below provides for RFID readers that may choose baseband signaling in low-density (or low-noise) environments, in an attempt to maximize data rate and throughput, but that choose and/or implement non-baseband signaling in high-density (or high-noise) environments. One 10 advantage of implementing non-baseband signaling for high-density environments is that, within such high-density environments, RFID readers 12 may be synchronized or not, depending on regulatory requirements in a specific 15 region. Further, the utilization of non-baseband signaling for high-density environments facilitates the implementation of spectral spacing between the transmissions of RFID readers and RFID tags that allows many reader-to-tag and 20 tag-to-reader signals to co-exist. One further advantage of the utilization of non-baseband signaling for high-density environments is that collision detection that 25 may be provided.

[0059] Figure 17 is a block diagram providing further architectural detail pertaining to an RFID reader 12, according to one exemplary embodiment. The 25 RFID reader 12 includes one or more interfaces to receive input indicating at least one of a number of environmental conditions pertaining to an environment in which the RFID reader 12 is to operate, and a controller 160 to select a modulation format, from a number of supported modulation formats, based on the received input, to configure the RFID reader 12 to at least demodulate a 30 received backscatter radio-frequency signal utilizing the selected modulation format. The interfaces are coupled a number of input sources (e.g., an antennae interface 153 is coupled to one or more antennae 152) that provide an input signal (e.g., corresponding to a received radio-frequency signal) to a reader integrated circuit (IC) 154. The RFID reader 12 is shown to also include a

sensor interface 168 to receive input from one or more sensors 170 that may be included within the RFID reader 12 or alternatively may be external to the RFID reader 12, but be coupled (e.g., via a wired or wireless link) to the sensor interface 168. In one embodiment, the sensor 170 may be a GPS device that

- 5 provides location information, identifying a present location of the RFID reader 12, via the sensor interface 168 to the reader integrated circuit 154.

[0060] The RFID reader 12 may also include a network interface 172, so that to enable the RFID reader 12 to be coupled (e.g., utilizing a wired or wireless link)

to one or more further computer systems that communicate with the RFID reader

- 10 12 for a number of purposes. For example, a computer system coupled by the network interface 172 to the RFID reader 12 may provide operational data (e.g., configuration parameters) to the RFID reader 12, and also receive information (e.g., tag population count and identification information) from the RFID reader 12. A user interface 174 further allows a human operator to provide input to,

- 15 and receive output from, the RFID reader 12. For example, a human operator may need to configure the RFID reader 12 according to conditions and regulations applicable to a particular deployment environment. The user interface 174 may also be utilized to communicate information (e.g., tag population counts and identification information) to a human operator of the

- 20 RFID reader 12. To this end, the user interface 174 may be coupled to a display (e.g., an LCD or the like) or audio device to facilitate the presentation of information to a human operator.

[0061] Turning now specifically to the reader integrated circuit 154, signals received via the antennae interface 153 (e.g., a pad) are provided to a

- 25 demodulator 158 that demodulates the received input signal, and provides digital information to a reader controller 160 (e.g., a microprocessor, Application Specific Integrated Circuited (ASIC), a Floating Point Gate Array (FPGA) circuit, etc.). The digital information provided by the demodulator 158 to the controller 160 may include a response identifier, as well as response information

- 30 returned to the RFID reader 12 from any one of a number of RFID tags 14 included within an interrogated population. For example, the response data may include a product identifier (e.g., an Electronic Product Code (EPC)) stored within an interrogated RFID tag 14.

[0062] Figure 17 also illustrates that a reader memory 162 is coupled to the controller 160 and stores one or more code modules that may be retrieved by the controller 160 for execution, so as to enable the controller 160 to control operation of the RFID reader 12 in accordance with an appropriate code module.

- 5 For example, the reader memory 162 may store baseband, non-baseband and Time Division Multiplex (TDM) modulation code modules (or at least modulation values) so as to appropriately configure the controller 160. For example, the various stored modulation code modules may be executed so as to enable the controller 160 to output an appropriate switch signal (e.g., a demodulator clock signal 161) to the demodulator 158.
- 10

[0063] The reader integrated circuit 154 is also includes clock generation circuitry 164, which includes a Digitally Controlled Oscillator (DCO) 165. In one embodiment, the oscillator 165 may be calibrated utilizing one or more oscillation values stored within the reader memory 162. The oscillator 165

- 15 outputs a frequency signal to the controller 160 that employs a count function (or circuit) 167 to generate one or more clock signals (e.g., the demodulator clock signal 161 and a modulator clock signal 169).

[0064] The controller 160 is also shown to be coupled to a modulator 166, so as to facilitate the provision of the modulator clock signal 169.

- 20 [0065] Operation of the RFID reader 12 includes the generation of one or more commands, and associated data and configuration values, for inclusion within a reader-to-tag transmission. For example, an issued command may be a query command to elicit certain information from a population of interrogated RFID tags 14. Further, the query command may include one or more configuration parameters (e.g., to configure an interrogated RFID tag 14 to respond utilizing one of a number of modulation formats). The controller 160 is also shown to include a selector 176 that operationally may select a modulation format for reader-to-tag transmissions, and for tag-to-reader transmissions, based on one or more inputs, potentially indicative of environmental conditions pertaining to a deployment environment. To this end, the selector 176 may, in one embodiment, receive input from any one or more of the antenna interface 153, the sensor interface 168, the network interface 172, and/or the user interface 174, and select appropriate modulation formats based on any one, or a combination, of such inputs. For example, a baseband modulation code module may be
- 25
- 30

selected by the selector 176 responsive to a determination that the RFID reader 12 is operating in a low-density environment. Alternatively, should the selector 176, based on received inputs, determine that the RFID reader 12 is operating in a high-density and noisy RF environment, it may operatively select the non-baseband modulation code module to configure the controller 160 appropriately.

[0066] The selector 176 may also operate, based on the received inputs, to select various configuration parameters, also stored within the reader memory 162, for inclusion within commands (e.g., a query command) of a reader-to-tag transmission. The selection of one or more configuration parameters may be made based on input received via the sensor interface 168, indicating that the RFID reader 12 is operating in an environment that is subject to specific regulatory requirements (e.g., that the RFID reader 12 is operating in Europe, and accordingly the configuration parameters included within a query command issued from the RFID reader 12 should configure a population of RFID tags 14 to modulate a backscatter signal appropriately). Further, the controller 160 may execute an appropriate modulation code module so as to generate a demodulator clock signal 161, appropriate for demodulating a received backscatter signal that is modulated according to the selected modulation format and configuration parameters.

[0067] Finally, it should be noted that the various components of the RFID reader 12 discussed above may be accommodated within a common housing, or may alternatively be distributed across multiple devices. Further, the various components of the reader integrated circuit 150 need not, in alternative embodiments, be implemented on a single integrated circuit. For example, the reader memory 162 may of course be separate from a reader integrated circuit that includes the controller 160. While the sensor 170 is also shown to be accommodated within a common housing of the RFID reader 12 in Figure 17, it will likewise be appreciated that the sensor 170 may be located and positioned externally, and coupled to the RFID reader 12.

[0068] Figure 18 is a block diagram providing architectural detail for an RFID tag 14, according to one exemplary embodiment. The RFID tag 14 is shown to include one or more antennae 182, coupled to a tag integrated circuit 184 via an antenna interface 183 in the exemplary form of a pad. The tag interface 183 is in turn coupled to a rectifier 186, so as to enable an RF signal received via the

antenna 182 to be propagated to the rectifier 186. The rectifier 186 extracts (or “scavenges”) power from the received signal, the extracted power then being provided to a power regulator 188 that provides a reference voltage ( $V_{DD}$ ) to various components of the tag integrated circuit 184. The antenna interface 183

5      is also coupled to a demodulator 190, so as to provide a received RF signal to the demodulator 190, which operationally demodulates the received signal to generate a command, and associated data values and configuration parameters. The demodulator 190 is shown to be coupled to a tag controller 192, and to provide commands, and the associated data and configuration parameters, to a

10     command decoder 194. The command decoder 194 is coupled to a tag state machine 196, also included within the tag controller 192, and operationally decodes a command, responsive to which the command decoder 194 may instruct the tag state machine 196 to transition to a particular operational state.

[0069] The tag integrated circuit 184 also includes a tag memory 198, in which

15     may be stored multiple calibration values 200, 202. The tag memory 198 is coupled to clock generation circuitry 204 that, in one embodiment, includes a multiplexer (MUX) 206 that operationally selects between the multiple calibration values 200, 202 stored within the tag memory 198 based on an output of the tag state machine 196. A state occupied by the tag state machine 196 may

20     determine the output to the MUX 206, and accordingly may determine a calibration value selected by the MUX 206.

[0070] The MUX 206 is shown to be coupled to a Digitally Controlled Oscillator (DCO) 208 that is calibrated in accordance with a selected calibration value and provides a frequency signal to one or more counters 214 implemented

25     within the tag controller 192. While a MUX 206 is described above as performing the selection operation, the selection may simply be part of a memory operation and any one of a number of memory access schemes may be utilized to select an appropriate calibration value. The counters 214, utilizing the frequency signal, are responsible for the generation of one or more clock signals

30     that are propagated to other components of the tag integrated circuit 184. For example, the counters 214 may generate a modulator clock signal 210 that provides input to a modulator 212, and a demodulator clock signal 216 that provides input to the demodulator 190. The tag state machine 196 is also coupled to the counters 214 to provide a rate signal 215 that determines a

multiplication rate (e.g., x1, x2..., xN) to be applied by the counters 214 in the generation of the modulator clock signal 210. The modulator clock signal 210, it will be appreciated, may operate as a "switch" signal according to which the modulator 212 varies the impedance of an antenna 182 to thereby modulate a backscatter signal transmitted from the RFID tag 14.

5 [0071] Figure 19 is a flowchart illustrating an exemplary method 220 to configure an RFID reader 12 according to an environmental condition pertaining to a deployment environment.

[0072] The method 220 commences with the receipt of an input, at the RFID 10 reader 12, indicative of one or more environmental conditions that may be present within, or pertain to, an environment in which the RFID reader 12 is to be deployed. In one embodiment, the RFID reader 12 may, at block 222,

15 automatically detect an environmental condition. For example, the detection of an environmental condition may involve the RFID reader 12 detecting that it is operating in either a sparsely or densely populated reader (or tag) environment, or a "noisy" environment in which a predetermined level of RF interference is present. To this end, the RFID reader 12 may, via an antenna 152 and an

antenna interface 153, sample RF environmental conditions within a deployment environment, these samplings being provided to the selector 176 of the controller 160. The RFID reader 12 may also, via the antenna 152, receive a signal from a co-located RFID reader or a co-channel RFID reader, operating within the

20 relevant environment. The transmission signals from the co-located or co-channel RFID reader are similarly propagated through to the selector 176 of the controller 160. The RFID reader 12 could of course, in other embodiments, 25 employ other types of sensors to detect a variety of environmental conditions.

For example, in one embodiment where the sensor 170 comprises a GPS receiver, the RFID reader 12 may automatically determine that it is operating at a specific geographic location at which certain environmental conditions are known to exist. One such environmental condition may be a regulatory

30 condition under which RFID readers 12 are required to operate at the geographic location. For example, if the RFID reader 12 determines that it is operating within the North American continent or in Europe, this information may constitute an environmental condition that is automatically detected at block 222.

In a further embodiment, as described in more detail below, the RFID reader 12

may also receive the signals from RFID, via the antenna 152, signals from RFID tags within a population of RFID tags 14 within an interrogated population backscatter signals from RFID tags 14 within an interrogated population. The backscatter signals may, for example, enable the RFID reader 12 to determine a tag population or density in the relevant environment. In yet another embodiment, the RFID reader 12 may receive an RF signal from a further RFID reader, a tag, or any other radio frequency device, and utilize the received RF signal and determine whether the received RF signal is below a predetermined threshold quality level. For example, where one or more received RF signals 5 may be sufficiently degraded by interference from other signals that a subject RFID reader 12 is able to determine from one or more received RF signals that interference levels are above a threshold and that the quality levels of one or more signals are accordingly below a certain threshold quality level.

[0073] The RFID reader 12 may, at block 224, also or alternatively receive an 10 input identifying a specific environmental condition from an external source. For example, the RFID reader 12 may be coupled, via a network interface 172, to a network, and accordingly to one or more other computer systems or sensors, that may provide the input identifying environmental conditions. For example, an external computer may operationally control a number of RFID readers 12 15 within a particular deployment environment, and accordingly be aware of the operational state of such further RFID readers 12. If other RFID readers 12 are in fact operational, this information, along with appropriate configuration parameters pertaining to such further RFID readers 12, may be communicated via the network interface 172 to the selector 176 of the controller 160. An 20 external computer system may also, for example, provide information identifying a specific geographic location in which the RFID reader 12 is operating, or specific regulatory restrictions that are applicable within the deployment environment. An external computer system may also be equipped to detect RF noise and interference levels within the deployment environment, and 25 to provide appropriate inputs to the RFID reader 12.

[0074] The input received at block 224, could also be manual input received via the user interface 174 of the RFID reader 12. For example, a user may manually indicate that the reader is operating under any one of a number of environmental conditions (e.g. a densely or sparsely populated environment).

[0075] The method 220 then progresses to block 226, where the reader controller 160, and specifically the selector 176, operates to identify one or more environmental conditions (e.g., a densely populated reader environment), and to select between multiple modulation formats to utilize for transmissions between the RFID reader 12 and the population of RFID tags 14, based on the identified environmental condition(s). Consider the example in which the RFID reader 12 determines that it is operating in a dense RFID reader environment. This determination may be made based on a prior determination that at least a threshold number of further RFID readers 12 are operating within a deployment environment. Accordingly, should, merely for example, more than three further RFID readers 12 be detected within the deployment environment, the selector 176 may identify the deployment environment as being a densely populated reader environment and select a modulation format accordingly. The RFID reader 12 may also determine that it is operating in an open “dense” RFID tag environment. This determination may be made, for example, based on a determination that an interrogated tag population equals or exceeds a predetermined population total or population density, within a particular environment. For example, the RFID reader 12 may perform a count of unique RFID tags 14 that back scatter responsive to an initial interrogation signal. Once the RFID reader 12 determines that a threshold number of RFID tags 14 are present within an interrogated population, the RFID reader 12 may identify deployment environment as being a densely populated environment, and also select a modulation format accordingly.

[0076] In a further embodiment, the RFID reader 12 may itself operate, or may alternatively receive input from other devices (e.g., external census or computer systems) indicating that the RFID reader 12 is operating in an environment in which RF noise levels and/or interference levels exceed a threshold level, this determination constituting the identification of a first environmental condition.

[0077] The selection of the modulation format may be based on any number of inputs to the selector 176. A detailed discussion regarding the desirability and advantages of using certain modulation formats within certain environments (e.g., sparsely versus densely populated environments) has been provided above. For example, the selector 176 may, upon determining that the RFID reader 12 is operating in a sparsely populated tag and/or reader environment, select a

baseband modulation format for the modulation of backscatter communications.

Alternatively, where the selector 176 determines that the RFID reader 12 is operating in a densely populated reader and/or tag environment, it may select a non-baseband (e.g., sub-carrier) modulation format for the modulation of

5 backscatter signals within the deployment environment.

[0078] The selected baseband modulation format may be any one of a number of baseband modulation formats (e.g., biphase, FMQ, Manchester, and Pulse Width Modulation (PWM) or a line code modulation format). A selected non-baseband modulation format may be a subcarrier modulation format (e.g., a Frequency

10 Shift Key (FSK), an Amplitude Shift Key (ASK) modulation format, or a Phase Shift Key (PSK) modulation format). The selection of the modulation format may include the consideration of any one or more of the inputs, received via one or more interfaces, of the RFID reader 12. For example, the selection of a particular modulation format may be based on noise and interference levels

15 within the deployment environment, and also a geographic location in which the RFID reader 12 is operating.

[0079] At block 226, the selector 176, as mentioned above, may also select one or more configuration parameters according to which to configure RFID reader 12. For example, when operating within a North American environment, the

20 configuration parameters may be selected such as to enable channel hopping, while a configuration parameter to disable this function may be selected if determined that the deployment environment is located in Europe. Similarly, if a determination is made at block 226 that the RFID reader 12 is operating within a European environment, a reader synchronization function of the RFID reader 12 25 may be enabled, whereas this feature may be disabled within a North American deployment environment.

[0080] Moving on from block 226, depending on whether a first or a second modulation format is selected at block 226, the method 220 progresses to block 228 or block 230. For the purposes of illustration only two modulation formats

30 (broadly identified as first and second modulation formats) have been described. It will be appreciated that any number of modulation formats may be available for selection, and may in fact be selected, at block 226.

[0081] At block 228, the RFID reader 12 is configured according to the selected first modulation format by the controller 160. Where, for example, the first

modulation format is a baseband modulation format, the controller 160 may retrieve the baseband modulation code from the associated reader memory 162 (or may retrieve appropriate configuration values from the memory 162) to configure the RFID reader 12. The configuration of the RFID reader 12

5 according to the baseband modulation format may involve selection of an appropriate counter (or counter algorithm) 167 to generate the demodulator clock 161 for demodulation of a backscatter signal modulated according to the selected baseband modulation format. The modulation format employed by the 10 RFID reader 12 may be changed as well (e.g., a forward link modulation may be changed from double-sideband Manchester to single-sideband modulation in 15 very dense reader environments).

[0082] Similarly, in the event that the second modulation format (e.g., a non-baseband modulation format) is selected at block 226, the method 220 progresses to block 230, where the RFID reader 12 is configured according to 15 the second modulation format. This may again involve the retrieval of an appropriate non-baseband modulation code (or appropriate configuration parameters) from the reader memory 162, and generating (during tag-to-reader communications) the demodulator clock signal 161 to appropriately demodulate a backscatter signal that is modulated utilizing the selected non-baseband 20 modulation format. The forward-link modulation format may also be changed, as discussed above.

[0083] At block 232, the RFID reader 12 includes modulation format (e.g., modulation mode) information, and appropriate configuration parameters in a command to be transmitted to a population of RFID tags 14. Figure 21 is a 25 diagrammatic representation of forward-link data 260 that may be generated at the RFID reader 12 at block 232. Specifically, the forward-link data 260 may include a configuration command 262 specifying a backscatter modulation format (e.g., an FMØ or a subcarrier backscatter modulation format), as well as any one of a number of configuration parameters 264 (e.g., a bit rate, cycles per 30 symbol, subcarrier frequency and symbol assignment or backscatter duration).

[0084] Figure 22 is a block diagram providing further details regarding the structure of an exemplary configuration command, in the form of an interrogation command 270. As illustrated, the interrogation command 270 may include a preamble 272; a command 274 (e.g., a query command); a scroll

specifier 276, which sets an acknowledgement mode for the RFID tags; a select specifier 278 that may specify a tag population to respond to the command (e.g., the query command); an identifier 280 and a tag specifier 282 that further specify a tag population that is required to respond to the command; a mode specifier 284 that sets a backscatter mode (e.g., FM $\emptyset$  or subcarrier); and a rate specifier 286 that specifies a rate at which a tag should backscatter (e.g., x1, x2, x4, etc.).

5 [0085] Returning to the method 220 as illustrated in **Figure 19**, at block 234, the RFID reader 12 then modulates and transmits the forward-link data 260 over the forward-link to the population of RFID tags 14.

10 [0086] At block 236, the RFID reader 12 receives and demodulates communications (e.g., reply data) received over the backscatter link, the received data being modulated according to the selected backscatter modulation format specified in the forward-link data. As noted above, the RFID reader 12 is configured by the controller 160 to demodulate the received data by the generation of an appropriate demodulator clock signal 161.

15 [0087] **Figure 23** is a block diagram illustrating an example of an interrogation reply 290 that may be received by the RFID reader 12 at block 236. The interrogation reply 290 is shown to include a preamble 292 and a variable 294. 20 that may include identifier information stored by a responding RFID tag 14. The method 220 then terminates at block 238.

25 [0088] **Figure 20** is a flowchart illustrating a method 240, according to one embodiment, to configure an RFID integrated circuit, such as the tag integrated circuit 184 discussed above with reference to **Figure 18**, according to any one or more of a number of environmental conditions.

30 [0089] At block 242, an RFID tag 14 (of which the tag integrated circuit 184 is a component) receives and demodulates forward-link data 260 to extract a configuration command 262, and associated configuration parameters 264. In an embodiment where the RFID tag 14 is a “passive” tag, the tag 14 receives all operating energy from the radio-frequency signal that carries the forward-link data. Accordingly at block 242, the rectifier 186 may, as described above with reference to **Figure 18**, extract operating energy from the received signal. At block 244, the demodulator 190, having extracted the command 262 and configuration parameters 264, communicates this data to the command decoder

194 of the tag controller 192, the command decoder 194 then decoding the relevant command.

[0090] At block 246, the tag controller 192, and specifically the tag state machine 196, configures a tag backscatter modulation format (e.g., mode) in

- 5 accordance with the configuration command 262 and the configuration parameters 264. For example, where the mode specifier 284 included within an interrogation command 270 specifies an FM $\emptyset$  or subcarrier mode, and a rate specifier 286 specifies a specific rate, an appropriate calibration value may be selected from the tag memory 198 and an appropriate counter 214 set to generate  
10 a demodulator clock signal 216. For example, a rate signal 215 may be generated to configure the counter 214 to modulate at a specific (e.g., x1, x2, ...xN) rate.

[0091] At block 248, the RFID tag 14 then modulates a backscatter signal in accordance with the configuration, (e.g., by modulating the impedance of the

- 15 antenna 182) and transmits reply data (e.g., an interrogation reply 290) over the backscatter link to the interrogating RFID reader 12. The method 240 then terminates at block 250. In the exemplary embodiment, an RFID system is described that operates in the 860 MHz-960 MHz frequency range (i.e., is an Ultra-High Frequency (UHF) system). In the exemplary embodiment, an RFID  
20 system is further described in which an RFID reader (or interrogator) communicates with and powers a population of passive RFID tags that are illuminated by a radio-frequency signal transmitted from one or more RFID readers. Reader/tag communications may be half-duplex (i.e., either the RFID reader talks and the RFID tags listen, or vice versa). In one embodiment, during  
25 the reader-to-tag communications, RFID readers communicate information to tags utilizing Amplitude Shift Key (ASK) modulation of a radio-frequency signal transmitted from the RFID reader. Passive RFID tags extract all operating energy from a received radio-frequency signal. During the tag-to-reader communications, the RFID reader transmits a Continuous Wave (CW) radio-frequency signal to the population of RFID tags. Each of the RFID tags within  
30 the illuminated population communicates information back to the RFID reader by modulating impedance (or reflection coefficient) of an associated antenna. The RFID reader observes communications from the RFID tag as amplitude-modulated backscatter of the CW signal.

[0092] It should also be noted that embodiments of the present invention may be implemented and not only as a physical circuit or module (e.g., on a semiconductor chip) but, also within a machine-readable media. For example, the algorithms, circuits and designs described above may be stored upon, or

- 5 embedded within, a machine-readable media associated with a design tool used for designing semiconductor devices. Examples include a netlist formatted in the VHSIC Hardware Description Language (VHDL), the Verilog language, or the SPICE language. Some netlist examples include a behavioral level netlist, a register transfer level, (RTL) netlist, a gate level netlist, and a transistor level
- 10 netlist. Machine-readable media include media having layout information, such as a GDS-II file. Furthermore, netlist files and other machine-readable media for semiconductor chip design may be used in a simulation environment to perform any one or more methods described above. Thus it is also to be understood that embodiments of the present invention may be used, or to support, a software
- 15 program executing on some processing core (e.g., a CPU of a computer system), or otherwise implemented or realized within a machine-readable medium. A machine-readable medium may include any mechanism for storing and transmitting information in a form readable by a machine (e.g., a computer). For example, a machine readable-readable medium may comprise a read-only
- 20 memory (ROM), a random access memory (RAM), magnetic disc storage media, optical storage media, flash memory devices, electrical, optical, acoustic, or other form of propagated signal (e.g., a carrier wave, infrared signal, radio-frequency signal, a digital signal, etc.).

[0093] One embodiment also extends to a machine within which a set of

- 25 instructions, for causing the machine to perform any one or more of the methodologies discussed herein, may be executed. In alternative embodiments, the machine operates as a standalone device or may be connected (e.g., networked) to other machines. In a networked deployment, the machine may operate in the capacity of a server or a client machine in server-client network
- 30 environment, or as a peer machine in a peer-to-peer (or distributed) network environment. The machine may be an RFID reader, an RFID tag, a personal computer (PC), a tablet PC, a set-top box (STB), a Personal Digital Assistant (PDA), a cellular telephone, a web appliance, a network router, switch or bridge, or any machine capable of executing a set of instructions (sequential or

otherwise) that specify actions to be taken by that machine. The term "machine" shall also be taken to include any collection of machines that individually or jointly execute a set (or multiple sets) of instructions to perform any one or more of the methodologies discussed herein.

- 5 [0094] The machine may further include machine-readable medium on which is stored one or more sets of instructions and data structures (e.g., software) embodying or utilized by any one or more of the methodologies or functions described herein. The software may also reside, completely or at least partially, within a memory and/or within the processor during execution thereof by the  
10 machine, the main memory 19 and the processor also constituting examples of machine-readable media.
- [0095] The software may further be transmitted or received over a network via a network interface device utilizing any one of a number of well-known transfer protocols.
- 15 [0096] The term "machine-readable medium" should be taken to include a single medium or multiple media (e.g., a centralized or distributed database, and/or associated caches and servers) that store the one or more sets of instructions. The term "machine-readable medium" shall also be taken to include any medium that is capable of storing, encoding or carrying a set of instructions for execution by  
20 the machine and that cause the machine to perform any one or more of the methodologies of the present invention, or that is capable of storing, encoding or carrying data structures utilized by or associated with such a set of instructions. The term "machine-readable medium" shall accordingly be taken to include, but not be limited to, solid-state memories, optical and magnetic media, and carrier  
25 wave signals.

CLAIMS

1. An RFID integrated circuit characterized by:
  - 5 a demodulator to receive a first signal, the first signal having been generated by an RFID reader responsive to detection of a first environmental condition; and
  - 10 a controller to configure the RFID integrated circuit to modulate a backscatter signal according to a first modulation format, based on the first signal.
2. The RFID integrated circuit of claim 1, wherein the demodulator is to receive a second signal, the second signal having been generated by the RFID reader responsive to detection of a second environmental condition, and wherein the controller is to configure the RFID integrated circuit to modulate the backscatter signal according to a second modulation format, based on the second signal.
- 20 3. The RFID integrated circuit of claim 2, wherein the first signal includes a first configuration parameter for the first modulation format, and the second signal includes a second configuration parameter for the second modulation format.
- 25 4. The RFID integrated circuit of claim 3, wherein the first configuration parameter and the second configuration parameter each comprise at least one of a bit rate, cycles per symbol, subcarrier frequency and symbol assignment, and backscatter duration.
- 30 5. The RFID integrated circuit of claim 2, wherein the first and second signals each include a command to configure the RFID integrated circuit to modulate the backscatter signal according to the first and second modulation format, respectively.

6. The RFID integrated circuit of claim 2, wherein the first modulation format is a baseband modulation format and the second modulation format is a non-baseband modulation format.

5 7. The RFID integrated circuit of claim 6, wherein the baseband modulation format comprises at least one of an FM0, a Manchester, and a PWM modulation format.

10 8. The RFID integrated circuit of claim 6, wherein the non-baseband modulation format is a subcarrier modulation format.

9. The RFID integrated circuit of claim 8, wherein the subcarrier modulation format includes at least one of a group of modulation formats including a frequency shift key (FSK) modulation format, an amplitude shift key 15 (ASK) modulation format, and a phase shift key (PSK) modulation format.

10. The RFID integrated circuit of claim 2, wherein the first and second environmental conditions each include at least one of a group of environmental conditions including a number of RFID tags in an environment, a number of 20 RFID readers in the environment, a frequency of at least one of RFID reader in the environment, a geographic location, and radio-frequency noise in the environment.

11. The RFID integrated circuit of claim 2, further including a modulator, 25 wherein the controller is to configure the modulator to support each of the first and the second modulation formats.

12. The RFID integrated circuit of claim 11, wherein the controller is to modify a modulator switch signal, supplied to the modulator of the RFID 30 integrated circuit, in accordance with at least one of the first and the second modulation formats.

13. The RFID integrated circuit of claim 2, further including a state machine to transition to at least one of a first state, to configure the RFID integrated

circuit to modulate according to the first modulation format; and to a second state, to configure the RFID integrated circuit to modulate according to the second modulation format.

5    14.    The RFID integrated circuit of claim 2, further including a command decoder to decode a command received in one of the first and the second signals to switch a state of integrated circuit state machine.

15.    An RFID reader characterized by:

10

an interface to receive an input at the RFID reader indicating at least one of a plurality of environmental conditions pertaining to an environment in which the RFID reader is to operate; and

15

a controller to select a first modulation format of a plurality of modulation formats based on the input, and to configure the RFID reader to demodulate a received backscatter radio-frequency signal utilizing the first modulation format of the plurality of modulation formats,

20

wherein the RFID reader is further to configure a population of RFID tags to modulate a backscatter signal according to the first modulation format of the plurality of modulation formats.

25

16.    The RFID reader of claim 15, further including a demodulator, wherein the controller is to configure the demodulator of the RFID reader to demodulate the received backscatter signal according to the first modulation format of the plurality of modulation formats.

30

17.    The RFID reader of claim 16, wherein the controller is to modify a demodulator switch signal, supplied to the demodulator of the RFID reader, in accordance with the first of the plurality of modulation formats.

18.    The RFID reader of claim 15, wherein the plurality of modulation formats includes a baseband modulation format and non-baseband modulation

format.

19. The RFID reader of claim 18, wherein the baseband modulation format comprises at least one of a group of baseband modulation formats, the group of  
5 baseband modulation formats including an FMØ, a Manchester, and an PWM modulation format.

20. The RFID reader of claim 18, wherein the non-baseband modulation format is a subcarrier modulation format.

10

21. The RFID reader of claim 20, wherein the subcarrier modulation format includes at least one of a frequency shift key (FSK) modulation format, an amplitude shift key (ASK) modulation format, and a phase shift key (PSK) modulation format.

15

22. The RFID reader of claim 15, including an interface, wherein the input identifying the at least one environmental condition is received via the interface.

23. The RFID reader of claim 22, wherein the interface is a user interface,  
20 and the input identifying the at least one environmental condition is manually provided to the RFID reader by a user.

24. The RFID reader of claim 22, wherein the interface is a network interface to a further computer system, and the input identifying the at least one  
25 environmental condition is received via a network from the further computer system.

25. The RFID reader of claim 22, wherein the interface is a wireless interface and the input is a signal received from a further RFID reader indicating a  
30 presence of the further RFID reader within an environment in which the RFID reader is operating.

26. The RFID reader of claim 22, wherein the interface is a wireless interface, and the input is a signal received from at least one further

5

RFID reader operating within the environment, and wherein the controller is to determine whether at least a threshold number of further RFID readers are operating within the environment, and is to configure the RFID reader to demodulate according to the first modulation format of the plurality of modulation formats responsive to the determination that at least the threshold number of further RFID readers are operating within the environment.

27. The RFID reader of claim 22, wherein the interface is a wireless interface, and the input is a signal received from a further RFID reader, and wherein the controller is to determine that the RFID reader and the further RFID reader are transmitting utilizing a common channel, and is to configure the RFID reader to demodulate the received backscatter radio-frequency signal utilizing the first modulation format of the plurality of modulation formats in response to the determination that the RFID reader and the further RFID reader are transmitting utilizing the common channel.

10  
15  
20

28. The RFID reader of claim 22, wherein the interface is a wireless interface and the input comprises a plurality of signals received from tags within an environment in which the RFID reader is operating.

25

29. The RFID reader of claim 18, wherein the controller is to utilize the plurality of signals received from the tags within the environment to determine a tag population density within the environment.

30. The RFID reader of claim 29, wherein the controller is to configure the RFID reader to utilize the first modulation format of the plurality of modulation formats based on the determined population density within the environment.

30  
31. The RFID reader of claim 22, wherein the interface is a global positioning system (GPS) interface, and the input is a signal identifying a geographic location at which the RFID reader is operating.

32. The RFID reader of claim 15, wherein the controller is to determine that

a quality of a received radio-frequency signal is below a threshold quality level, and is to configure the RFID reader to demodulate according to the first of the plurality of modulation formats if the quality of the received radio signal is below the threshold quality level.

5

33. A method to configure an RFID integrated circuit according to an environmental condition, the method characterized by:

10 responsive to a first signal, indicating a first environmental condition, configuring the RFID integrated circuit to modulate a backscatter signal according to a first modulation format; and

15 responsive to a second signal, indicating a second environmental condition, configuring the RFID integrated circuit to modulate the backscatter signal according to a second modulation format.

34. The method of claim 33, wherein the first signal includes a first configuration parameter for the first modulation format, and the second signal includes a second configuration parameter for the second modulation format.

20

35. The method of claim 34, wherein the first configuration parameter and the second configuration parameter each comprise at least one of a group of configuration parameters including a bit rate, cycles per symbol, subcarrier frequency, symbol assignment, and backscatter duration.

25

36. The method of claim 33, wherein the first and second signals each include a command to configure the RFID integrated circuit to modulate the backscatter signal according to the first and second modulation format, respectively.

30

37. The method of claim 33, wherein the first modulation format is a baseband modulation format, and the second modulation format is a non-baseband modulation format.

38. The method of claim 37, wherein the baseband modulation format comprises at least one of a FMØ, a Manchester, and a PWM modulation format.

39. The method of claim 37, wherein the non-baseband modulation format is  
5 a subcarrier modulation format.

40. The method of claim 39, wherein the subcarrier modulation format includes at least one of a frequency shift key (FSK) modulation format, an amplitude shift key (ASK) modulation format, and a phase shift key (PSK)  
10 modulation format.

41. The method of claim 33, including:

generating the first signal at an RFID reader and transmitting the first  
15 signal to the RFID integrated circuit, based on detection of the first environmental condition; and

generating the second signal at the RFID reader and transmitting the second signal to the RFID integrated circuit, based on detection of the  
20 second environmental condition.

42. The method of claim 41, wherein the first and second environmental conditions including one of a group of environmental conditions including a number of integrated circuits in an environment, a number of RFID readers in  
25 the environment, a geographic location, and radio-frequency noise in the environment.

43. The method of claim 33, wherein the RFID integrated circuit includes a modulator, and the configuring of the RFID integrated circuit includes  
30 configuring the modulator to support one of the first and the second modulation formats.

44. The method of claim 43, wherein the configuring of the modulator includes modifying a modulator switch signal, supplied to the modulator of the

RFID integrated circuit, in accordance with one of the first and the second modulation formats.

45. The method of claim 33, wherein the RFID integrated circuit includes a state machine, and wherein the configuring of the RFID integrated circuit includes transitioning the state machine to at least one of a first state, to configure the RFID integrated circuit to modulate according to the first modulation format, and a second state, to configure the RFID integrated circuit to modulate according to the second modulation format.

10

46. The method of claim 45, wherein the RFID integrated circuit includes a command decoder, and wherein the configuring of the RFID integrated circuit includes decoding a command received in one of the first and the second signals to switch a state of the state machine.

15

47. A method to configure an RFID reader, the method characterized by:

receiving an input at the RFID reader indicating at least one of a plurality of environmental conditions pertaining to an environment in which the 20 RFID reader is to operate;

selecting, at the RFID reader, a first modulation format of a plurality of modulation formats based on the input;

25 configuring the RFID reader to demodulate a received backscatter radio-frequency signal according to the first modulation format of plurality of modulation formats; and

30 configure a population of RFID tags to utilize the first modulation format of the plurality of modulation formats.

48. The method of claim 47, wherein the configuration of the RFID reader to demodulate according to the first modulation formats of the plurality of modulation formats includes configuring a demodulator of the RFID reader to

demodulate the received backscatter signal according to the first of the plurality of modulation formats.

49. The method of claim 48, wherein the configuring of the demodulator of  
5 the RFID reader includes modifying a demodulator switch signal, supplied to the demodulator of the RFID tag, in accordance with the first of the plurality of modulation formats.

50. The method of claim 47, wherein the plurality of modulation formats  
10 includes a baseband modulation format and non-baseband modulation format.

51. The method of claim 50, wherein the baseband modulation format comprises at least one of an FMO, a Manchester, and a PWM and a line code modulation format.

15  
52. The method of claim 50, wherein the non-baseband modulation format is a subcarrier modulation format.

53. The method of claim 52, wherein the subcarrier modulation format  
20 includes at least one of a frequency shift key (FSK) modulation format, an amplitude shift key (ASK) modulation format, and a phase shift key (PSK) modulation format.

54. The method of claim 47, wherein the input identifying the at least one  
25 environmental condition is manually inputted.

55. The method of claim 47, wherein the input identifying the at least one environmental condition is received via an interface of the RFID reader.

30 56. The method of claim 55, wherein the interface is an antenna interface and the input is a signal received from a further RFID reader indicating a presence of the further RFID reader in an environment in which the RFID reader is to operate.

57. The method of claim 47, wherein the input is a signal received from at least one further RFID reader operating within the environment, and wherein the configuring of the RFID reader includes determining whether at least a threshold number of further RFID readers are operating within the environment, and  
5 configuring the RFID reader to demodulate according to the first of the plurality of modulation formats responsive to the determination that at least the threshold number of further RFID readers are operating within the environment.

58. The method of claim 47, wherein the input is a signal received from a further RFID reader, the method including determining that the RFID reader and the further RFID reader are transmitting utilizing a common channel, and configuring the RFID reader to demodulate the received backscatter radio-frequency signal according to the first modulation format in response to the determination that the RFID reader and the further RFID reader are transmitting utilizing the common channel.  
10  
15

59. The method of claim 47, wherein the input comprises a plurality of signals received from tags within an environment in which the RFID reader is operating.  
20

60. The method of claim 59, including utilizing the plurality of signals received from the tags within the environment to determine a tag population density within the environment.

25 61. The method of claim 60, including configuring the RFID reader to utilize the first of the plurality of modulation formats based on the determined population density within the environment.

62. The method of claim 47, wherein the input is a GPS-based signal  
30 identifying a geographic location within which the RFID reader is operating.

63. The method of claim 47, wherein the configuring of the RFID reader includes determining that the quality of a received radio-frequency signal is below a threshold quality level, and configuring the RFID reader to demodulate

according to the first modulation formats of the plurality of modulation formats if the quality of the received radio signal is below the threshold quality level.

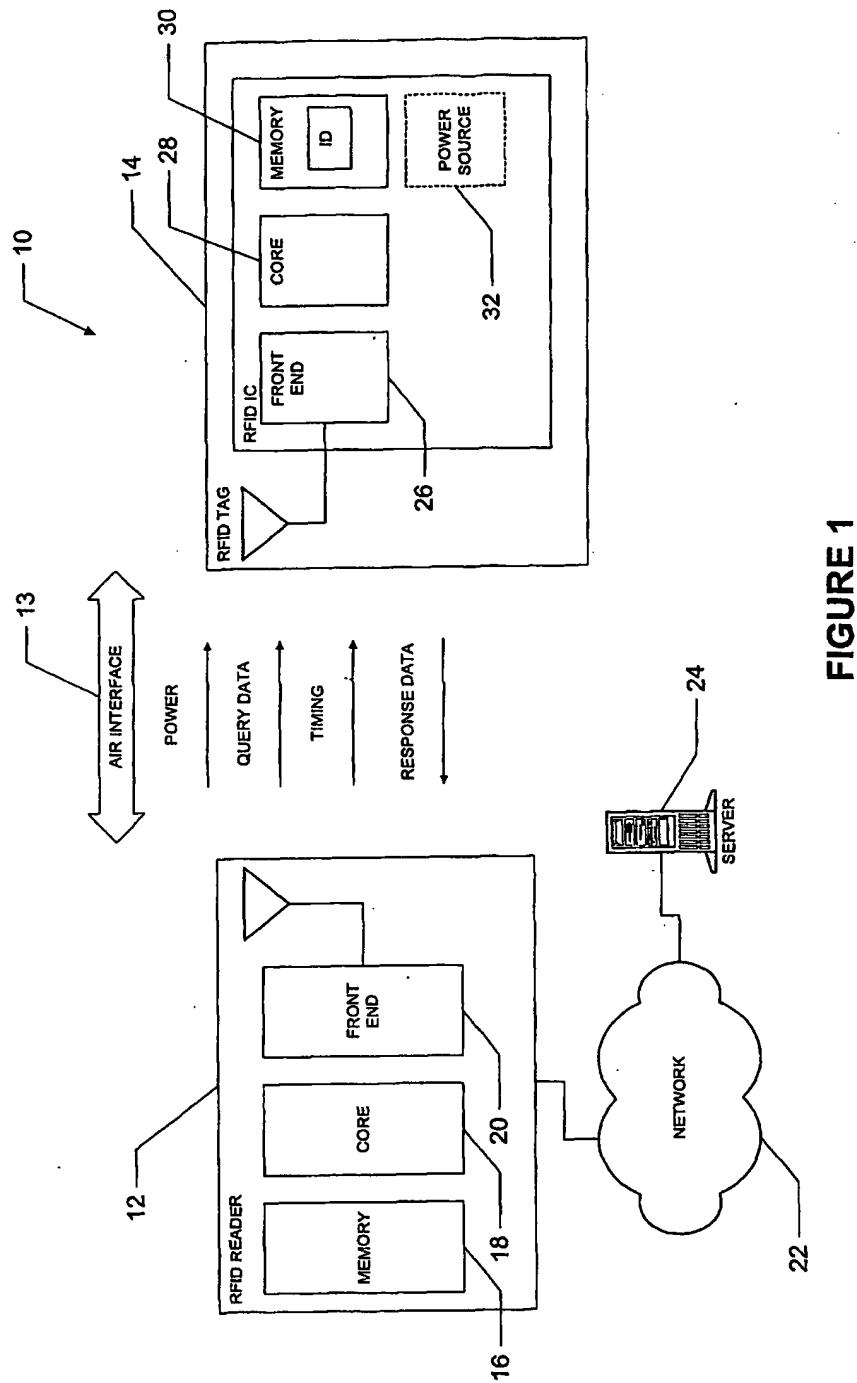
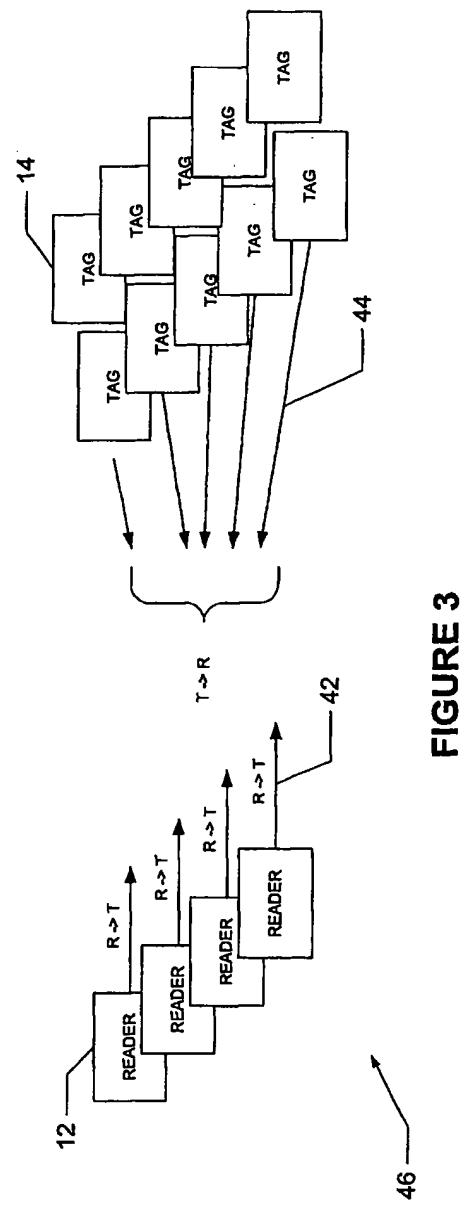
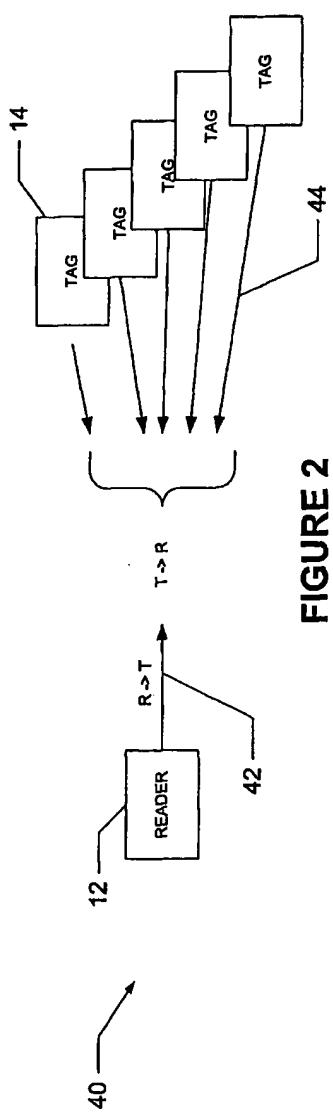


FIGURE 1



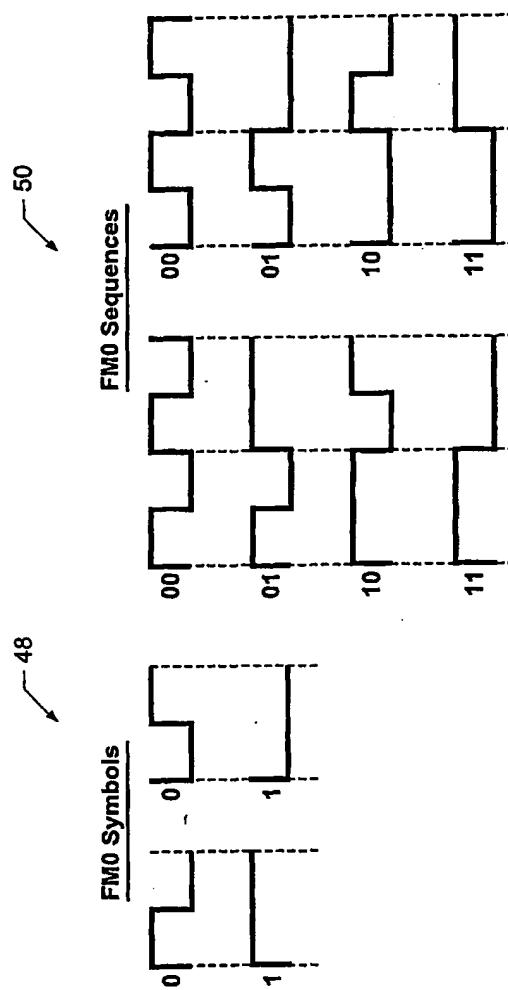
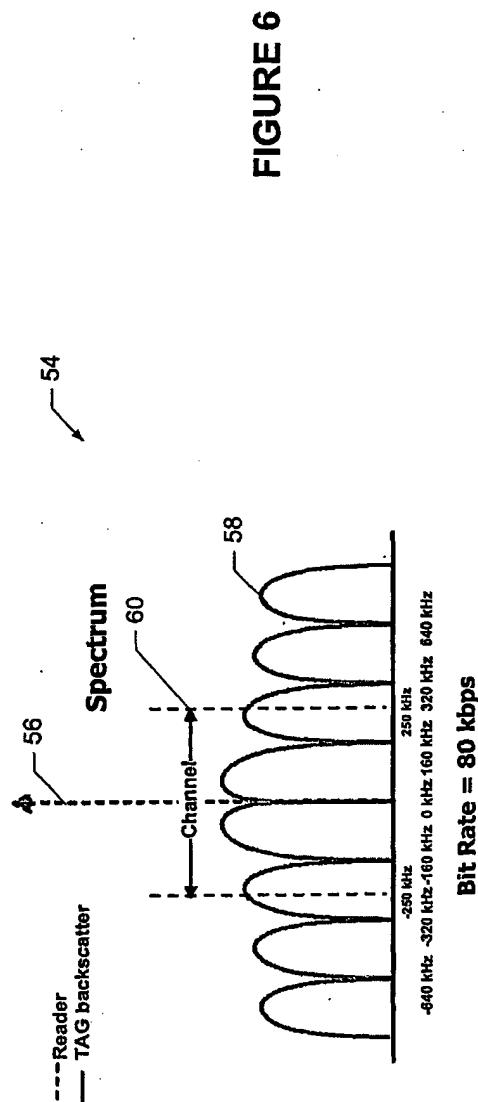
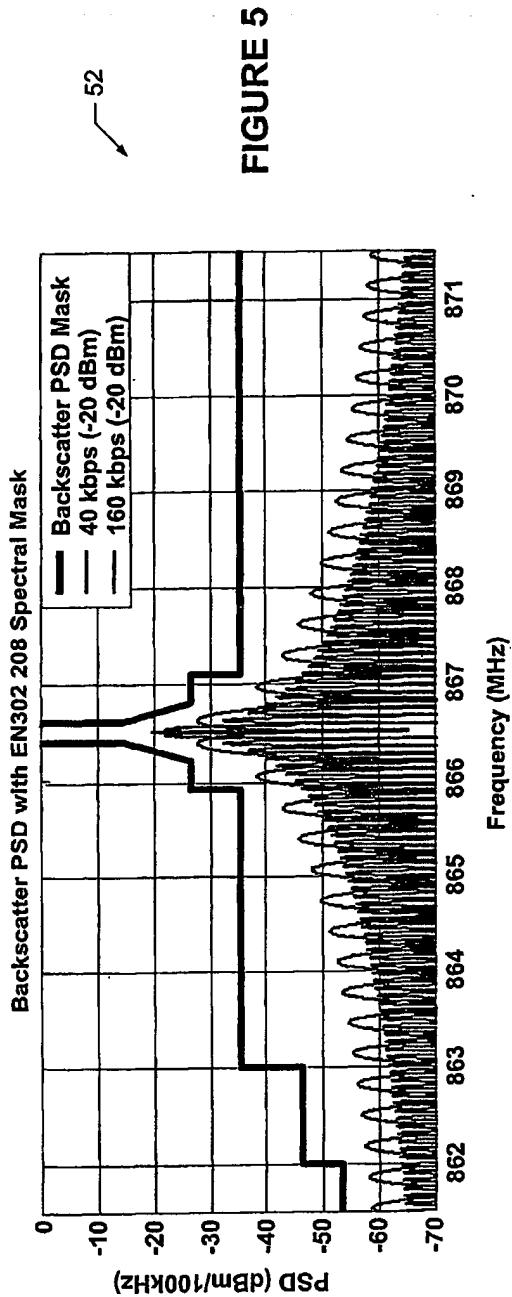


FIGURE 4



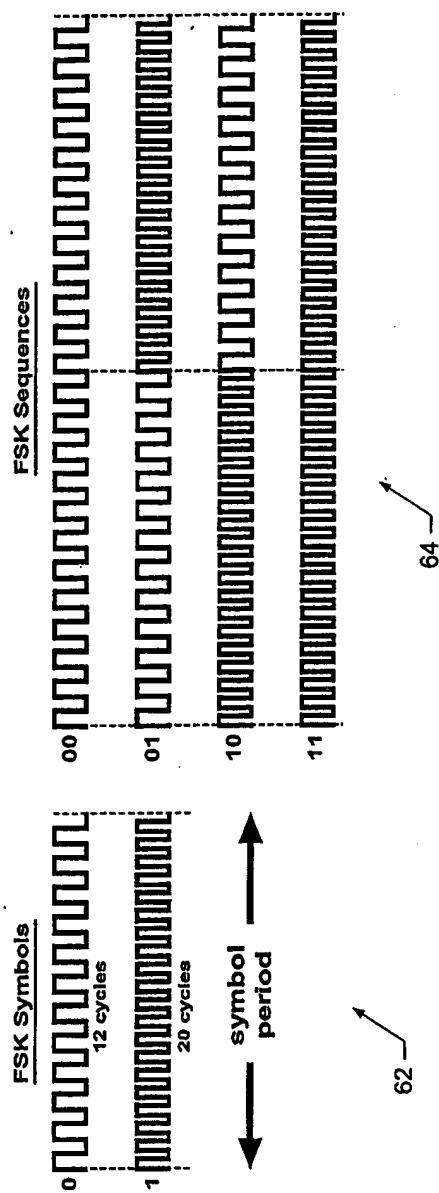


FIGURE 7

↙ 66

Region	Link	Rates / Format	Tag Clock
US	Forward	62.5kbps SSB Manchester	3750kHz
	Backscatter	62.5kbps FSK at 750, 1250 kHz	
Europe	Forward	25kbps SSB Manchester	1500kHz
	Backscatter	25kbps FSK at 300, 500 kHz	

FIGURE 8

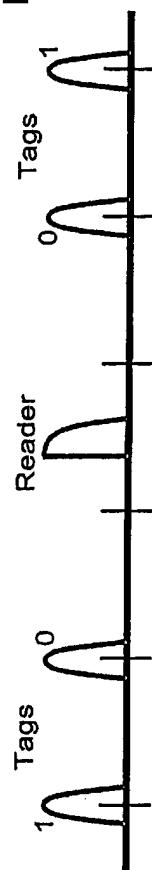


FIGURE 9

68 ↘

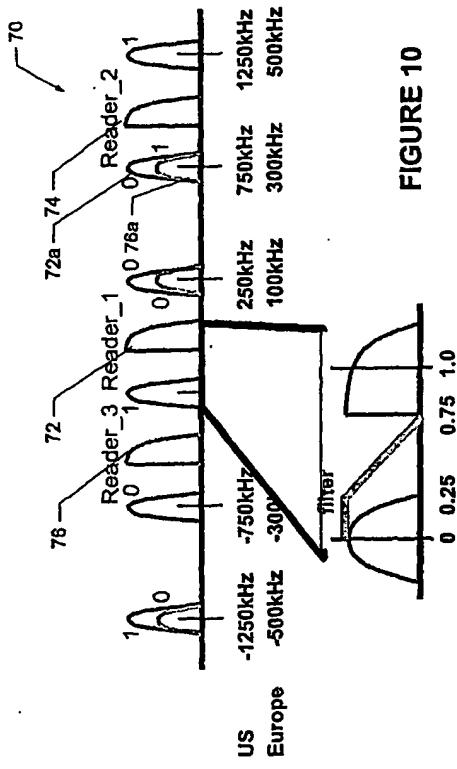


FIGURE 10

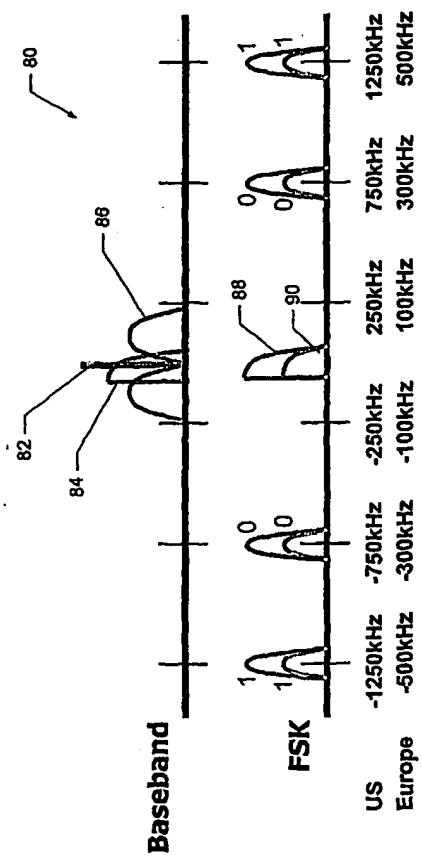


FIGURE 11

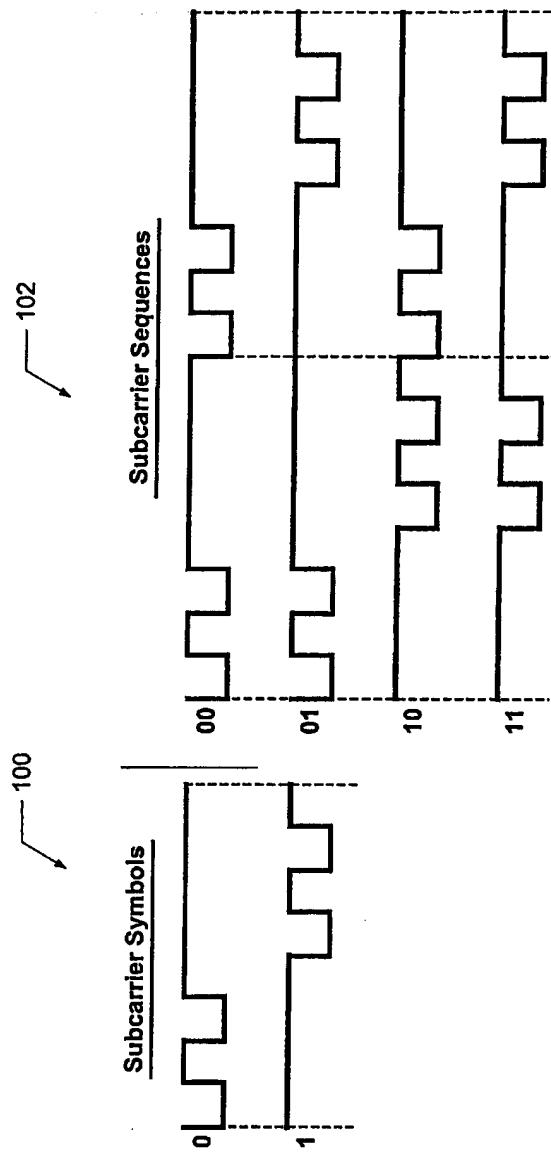
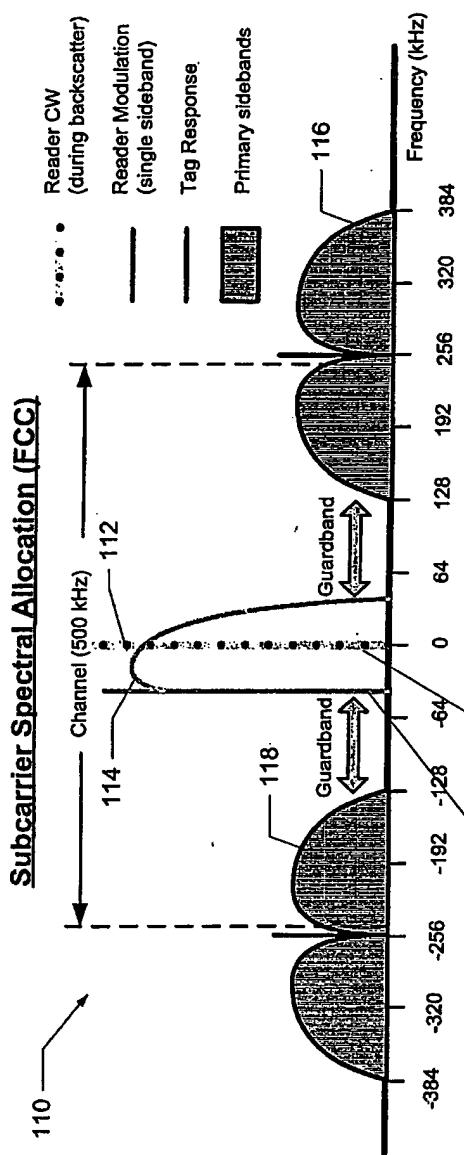
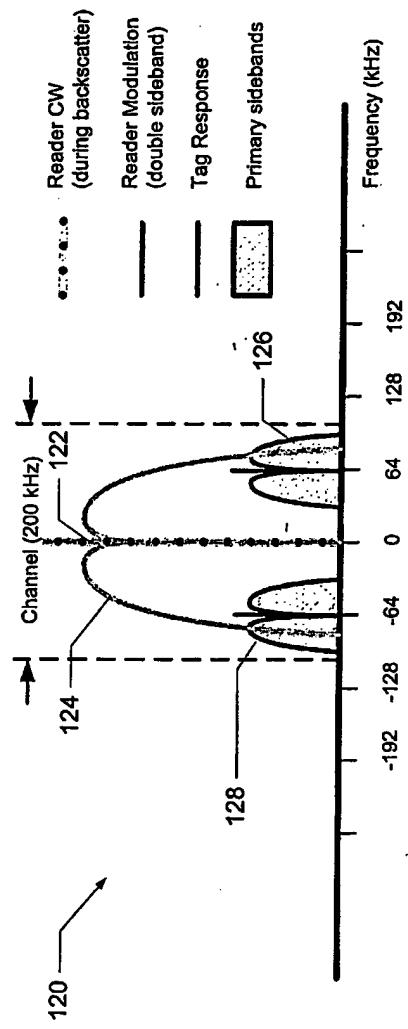


FIGURE 12

**FIGURE 13****Subcarrier Spectral Allocation (CEPTI)****FIGURE 14**

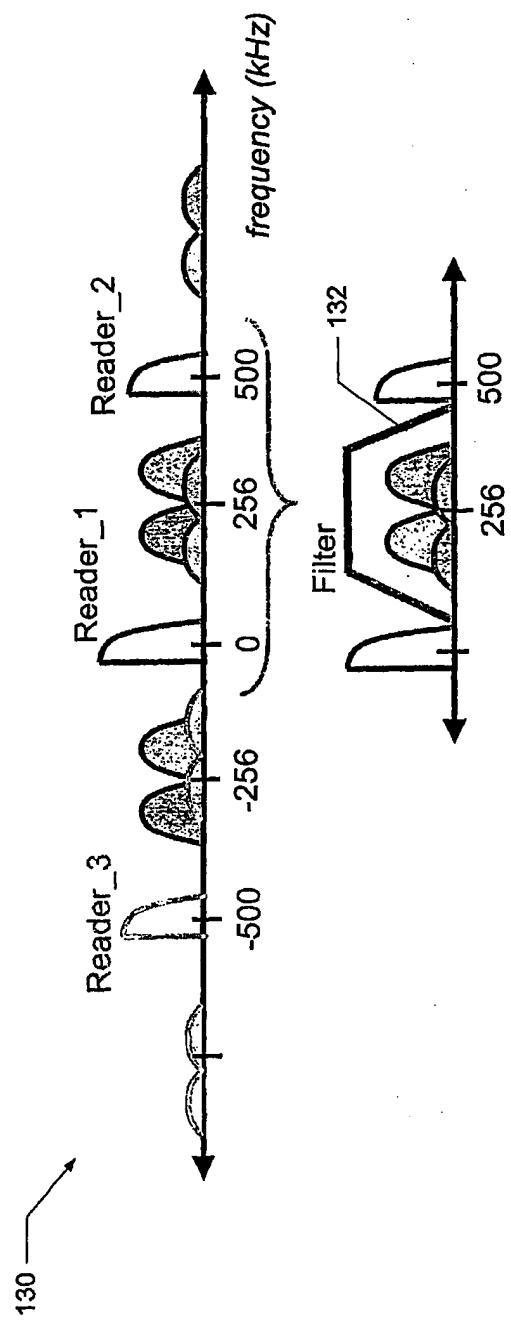


FIGURE 15

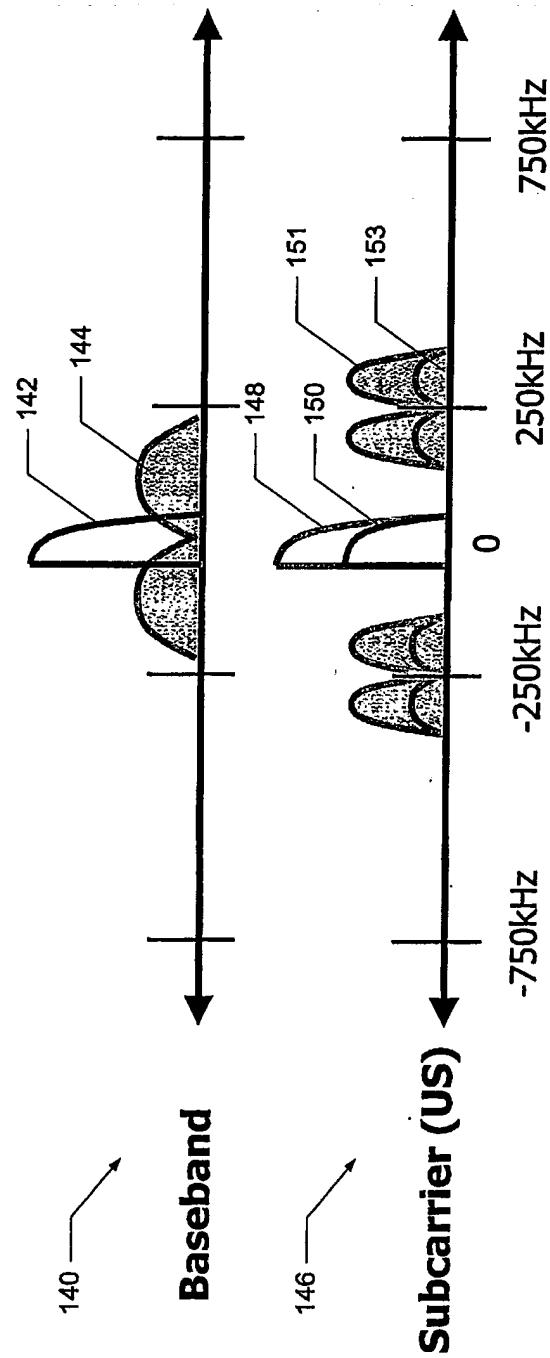


FIGURE 16

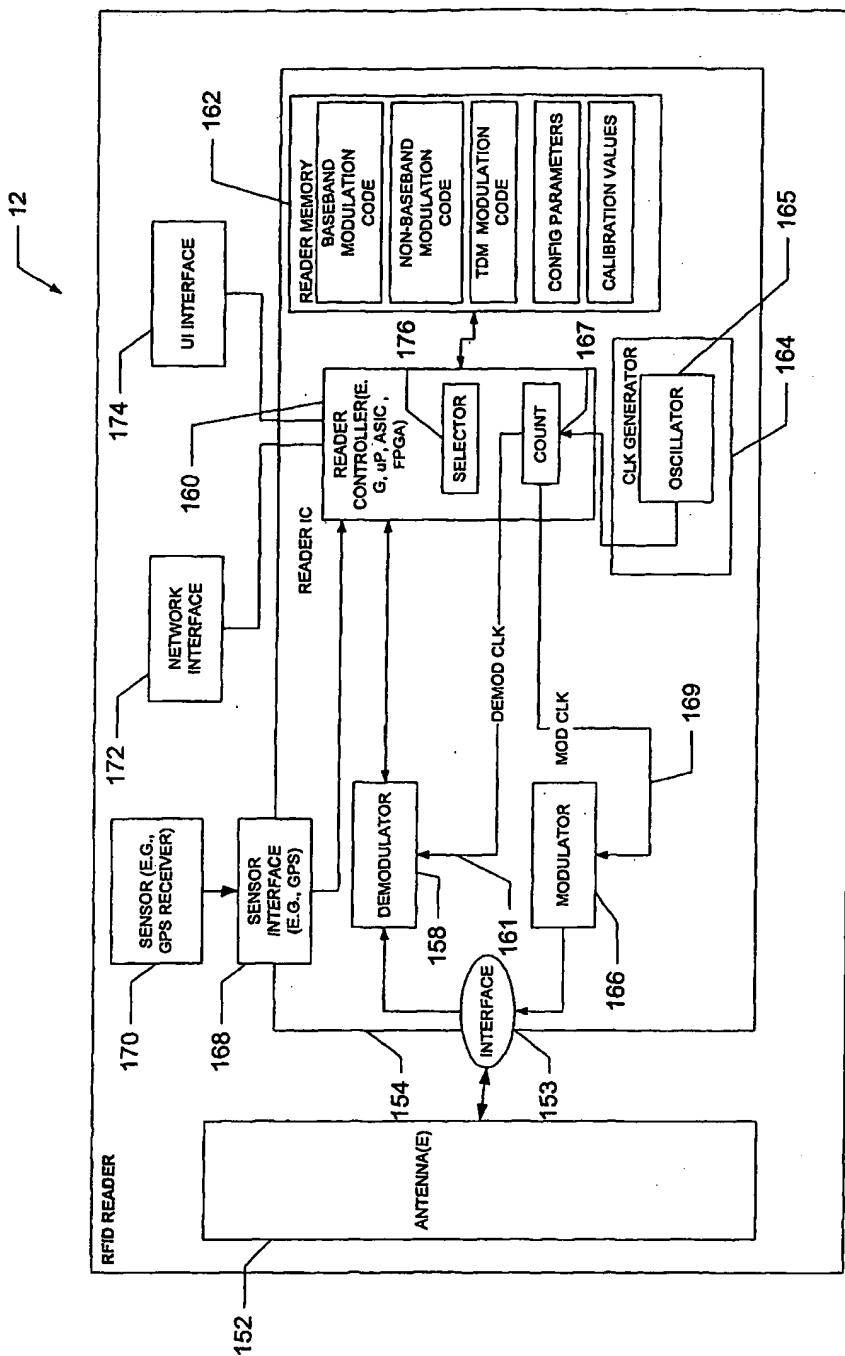


FIGURE 17

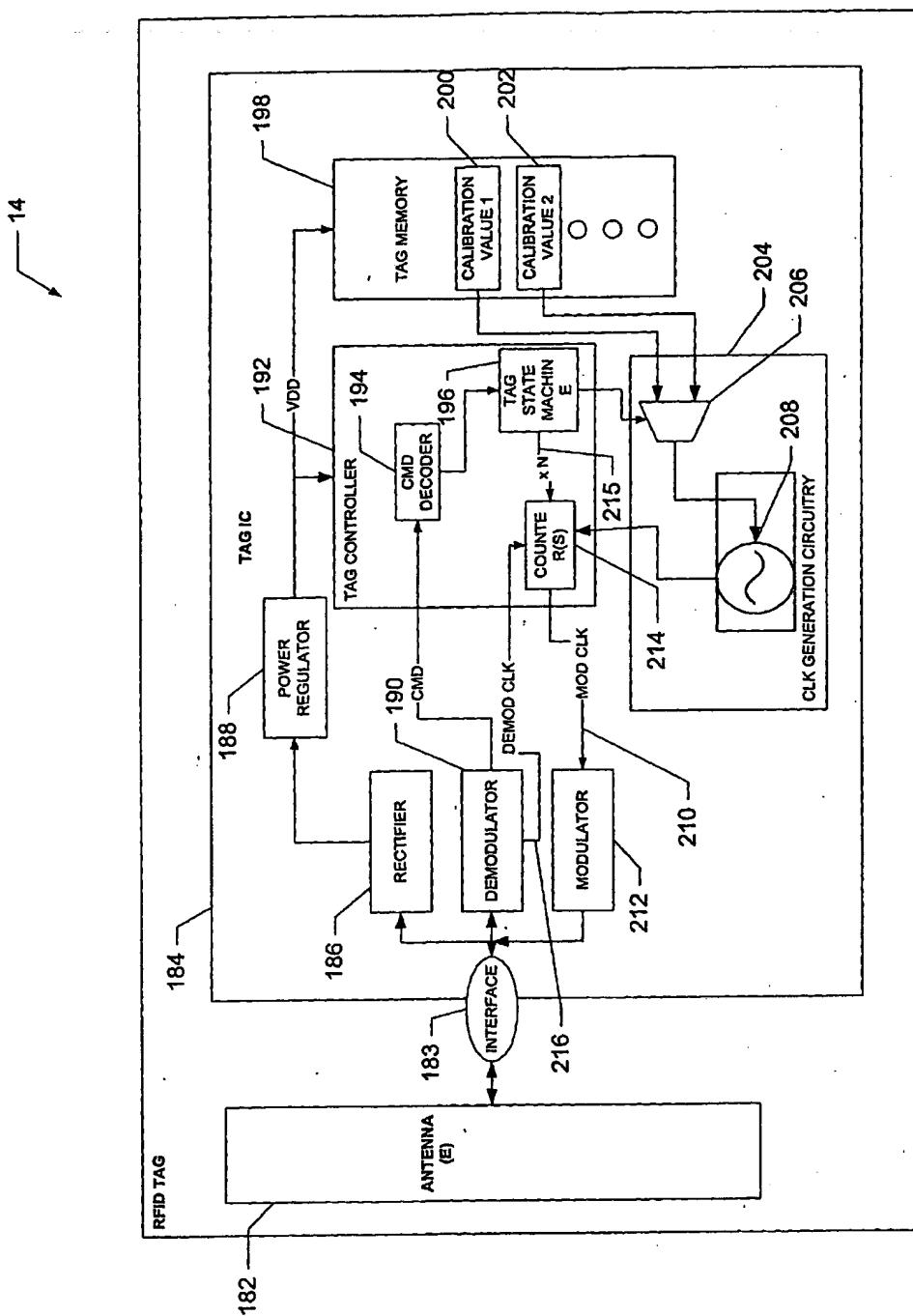


FIGURE 18

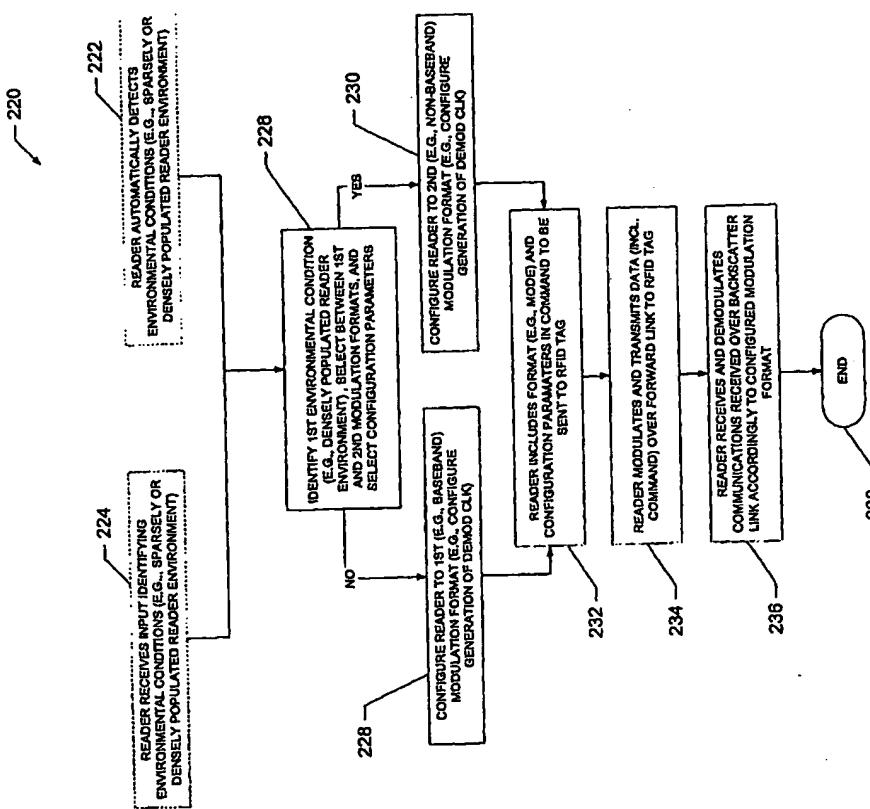


FIGURE 19

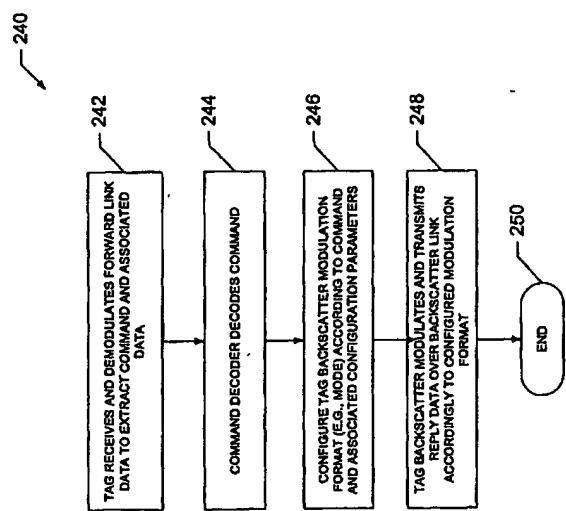


FIGURE 20

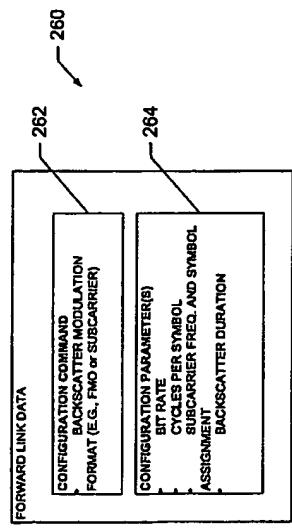


FIGURE 21

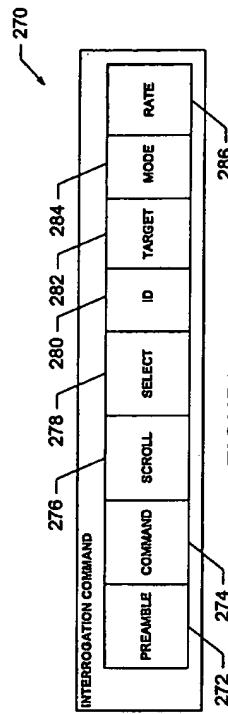


FIGURE 22

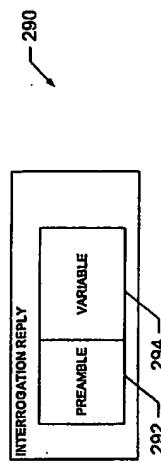


FIGURE 23